



Drinking water quality along the distribution network and associated antibiotic resistance in
Maun, Botswana

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November, 2017

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CERTIFICATION

The undersigned certifies that she has read and hereby recommends for acceptance by the College of Science a thesis titled: Drinking water quality along the distribution network and associated antibiotic resistance in Maun, Botswana, in fulfilment of the requirements for the degree of Master of Science in Biology and Biotechnology of the BIUST.

Dr: 

(Supervisor)

Date: 19/03/2018

DEDICATION

To my entire family and mostly my dear grandmother, Pauline Mashiqa her view of the world inspires me to be where I am.

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My heart felt gratitude to my supervisor Dr Lesedi Lebogang, I could not have asked for a more supportive adviser than I found in her. By her mentorship she showed me how to get there steering me into the right path and by example she showed me how to set and achieve high standards for research.

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Finally I would like to extend my gratitude to my family for believing in me, giving me all the support and encouragement throughout my years of study. This would have not been possible without them.

ABSTRACT

Maintaining the water quality in the distribution system is crucial to supplying safe drinking water to consumers. The main aim of the study was to analyse physicochemical, bacteriological parameters and investigate prevalence of antibiotic resistant bacteria and their antibiotic resistance genes in the drinking water distribution system in Maun, Botswana. Forty-four water samples were collected at different season interval and were tested for chlorine and turbidity and the results showed that in May, September, January and March, turbidity and chlorine measured 1.0 NTU (0.2 mg/L), 1.1 NTU (0.5 mg/L), 1.0 NTU (0.2 mg/L), 2.7 NTU (0.1 mg/L) respectively. The indicator organisms recorded were heterotrophic bacteria (37.7 %), total coliforms (28 %), *Faecal streptococci* (18.9 %) while *Escherichia coli* was at 10.4 % and 5 % for unusual isolates. Antibiotic susceptibility tests were performed on 150 isolates, which were tested against 15 different antibiotics using the Kirby-Bauer diffusion test. Ninety-two percent of the isolates were susceptible to at least one antibiotic and only one isolate (121-S5; *E. coli*) showed resistance to all the antibiotics used. For multi-drug resistance (MAR) patterns, the most prevalent antibiotic resistance pattern observed was AMP-AML-MEL-C-W-RD-TET-PEN-STREP. In addition, 92 % of the isolates were susceptible to meropenem followed by gentamicin (88 %) and ciprofloxacin (81 %). The amplified 16S rDNA sequences were successfully used to identify the 10 selected isolates. The presence of targeted ARGs (*tetA*, *tetB*, *int1*, *strepB* and *sul1*) for both culture isolates and whole sample genome were established. Moreover, metagenomic analysis confirmed the presence of some pathogenic bacteria such as *Mycobacterium tuberculosis*, *Legionella* sp, *Cholera* sp, *Staphylococcus* sp, *Sphingosinicella* sp. and *Pseudomonas* sp. In conclusion, the results indicated the occurrence of indicator bacteria and other non-culturable species in the drinking water as the water moves from the treatment plant to lines that feed the consumers. The results also signified the presence of antibiotic resistant bacteria and their resistance genes in the water samples. The data obtained may be thereof useful in monitoring the integrity of the water quality in the distribution network after treatment.

Key words: Drinking water quality, water distribution system, indicator microorganisms, metagenomics, antibiotic resistant bacteria and resistance genes.

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1 **CHAPTER 1**

2 **1.0 INTRODUCTION**

3 **1.1 Background of the study**
4

5 Safe drinking water is recognized as a basic human right and drinking water is supposed to be
6 clean and should not cause complications after consumption. The health risks associated with
7 consumption of contaminated water from naturally occurring microorganisms are of high interest
8 to the world, more especially public health practitioners (Richards *et al.*, 1992; Hunter 1993;
9 Eckner, 1998; Pachevsky *et al.*, 2011). These microorganisms are mostly of faecal origin and
10 place the human population at risk of waterborne diseases such as cholera, hepatitis, typhoid and
11 the predominant diarrhoea (Obi *et al.*, 2002; Okeke *et al.*, 2010). Diarrhoea has an estimated
12 annual incidence of 4.6 billion and causes 2.2 million deaths every year worldwide (Walker *et*
13 *al.*, 2012). World Health Organization (WHO) guidelines for drinking water quality states that
14 the water for drinking purposes should be free from any microorganism and must not cause any
15 disease (WHO, 2002).

16
17 Water quality is a measure of how clean and safe the water is to the consumers. One of the most
18 important factors influencing water quality is the distribution systems that deliver water to the
19 end users (Brikke, 2002; Schouthern and Moriarty, 2003). Ideally, there should be no changes in
20 the quality of treated water from the point it leaves the treatment plant until it reaches the
21 consumer's tap. However, in reality extensive changes occur to treated water as a result of
22 complex chemical, physical and biological reactions (Sim *et al.*, 1987). Hence, it is imperative to
23 monitor the quality of water throughout the distribution network, as safe drinking water should
24 have limited physicochemical elements, colour and compounds that cause bad odour or taste and
25 should be free from pathogenic microorganisms (Benignos, 2012).

26
27 Pathogenic microorganisms of concern can develop in drinking water networks by intrusion due
28 to external contamination incidents at different stages of water treatment, storage and
29 transmission through the pipe networks which include; cross connections, backflow events, pipe
30 breaks, and negative pressure or due to improper flushing and disinfection procedures (Mceneill

31 and Edwards, 2001). Furthermore, the most disturbing consequences of contamination in
32 drinking water distribution networks involve the presence, multiplication and dispersion of
33 opportunistic pathogens (Pryor *et al.*, 2004; Vaerewijck *et al.*, 2005; Flemming and Wingender,
34 2010; Wang *et al.*, 2012). Microorganisms present in water pipes may display two types of
35 behaviour: 1. the planktonic state, where cells float in water as it moves and their physical and
36 chemical activities are independent of neighbouring cells (Collins, 1957; Johnson and Swanson,
37 2000; Marshall, 2013) and 2. the biofilm, which is the attached state in which cells are adhered to
38 each other and to the surface of the pipe walls (Costerton *et al.*, 1987; Flemming, 2011).
39 Characterization of water quality is ideally based on the detection of conventional indicator
40 microorganisms. This conventional approach could exclude majority of microbial species found
41 in the water since the methods use selective media to target specific bacterial species, while
42 discriminating against many groups of microorganisms that could be present in the water, and
43 are a potential health risk. However, with the development of new technologies, recent studies
44 offer a more complete view of microbial communities in the environmental sample using
45 molecular approaches (Gianoulis *et al.*, 2009).

46

47 The use of antibiotics in everyday living is a concern globally since it is associated with the
48 development and spread of antibiotics in the aquatic environment (Martinez, 2009; Kümmerer,
49 2009; Rizzoa *et al.*, 2013). Various bacterial species, which carry resistance genes, are frequently
50 released into the surface water systems through municipal sewage effluents, (Michael *et al.*,
51 2013; Rizzoa *et al.*, 2013). The occurrence and fate of resistant bacteria and their resistance
52 genes in surface water is not desirable since high concentration of these resistant bacteria in
53 surface water may enter drinking water supply (Jones *et al.*, 2005). Subsequently, the water
54 provided to the community could potentially cause untreatable diseases to consumers. Therefore,
55 the determination of the resistant bacteria and their resistance genes is imperative.

56

57 The most widely accepted guidelines for water quality stipulate that there should be no
58 detectable levels of pathogens at the point of distribution as a safe guard against microbial
59 related waterborne disease (WHO/UNICEF, 2005). It is thus crucial to trace the water quality,
60 especially bacteriological parameters from the treatment plant to the consumers and identify the
61 points of re-contamination. The study investigates water quality of Maun distribution network by

62 examining the physicochemical, bacteriological parameters as well as determining antibiotic
63 resistance and investigating the presence of antibiotic resistance genes at different distribution
64 points. The results from the study will draw attention to deterioration of drinking water quality
65 during transmission and relate it to causes of public health problems.

66 **1.2 Statement of the problem**

67

68 Adequate, good quality and safe supply of drinking water is a basic need for human life.
69 Waterborne diseases due to unsafe drinking water is one of the leading causes of morbidity and
70 mortality worldwide and have led to increased public awareness over the quality of drinking
71 water (Fewtrell *et al.*, 2005; Anadu and Harding, 2000; Mandomando *et al.*, 2007; Yilgwan *et*
72 *al.*, 2012). In Botswana, water-related diarrhoeal incidences have been reported over the past
73 years in the six major districts, with Ngamiland district recording the highest of such cases
74 despite the efforts by the government to provide safe drinking water, through setting the national
75 standard (BOS 32: 2009). The standard recommends that the drinking water should contain zero
76 counts of pathogenic microbial indicators (BOS 32: 2009; Ngwenya and Kgathi, 2003;
77 Mazvimavi and Mmopelwa, 2006; Swatuk and Kgomotso 2007; Kujinga *et al.*, 2014; Tubatsi *et*
78 *al.*, 2015). The provision of adequate clean drinking water in the study area has been through
79 challenges in the past years (Mmopelwa *et al.*, 2005). The area experience unreliable water
80 supply, increase poor quality of water (Mmopelwa *et al.*, 2005) and increase in water demand as
81 the population increases (Geoflux, 2002). Hospitals and clinics are mostly affected as they
82 require consistent supply of good quality water since they deal mostly with patients who are
83 immuno-compromised, and could easily get waterborne infections (Geoflux, 2002). A study by
84 Mmopelwa (2005), in the suburbs of Maun revealed that about 50 % of residents were willing to
85 pay for improved water quality as a coping strategy.

86

87 Numerous studies reported in Ngamiland focused on water contamination at the source point,
88 overlooking the challenges in water quality changes that can occur in the distribution system
89 (Masamba and Mazvimavi, 2008; Mmualefe *et al.*, 2011; Kujinga *et al.*, 2014; Tubatsi *et al.*,
90 2014; West *et al.*, 2015). The tendency to overlook these challenges after treatment is through
91 the perception that the high quality water produced from water treatment works will not
92 deteriorate to an unacceptable level, but in reality extensive changes occur to finished water as a

93 result of complex chemical, physical and biological reactions (Swain, 2004). The quality of tap
94 water is said to be as good as the condition of the pipes it flows through (Martin *et al.*, 2007; Liu
95 *et al.*, 2017), as such, the effect of physicochemical and microbiological contaminants can result
96 in the deterioration of the water quality that reaches the customer's tap as compared to that at the
97 treatment plant (Vreeburg and Boxall, 2007; Proctor and Hammes, 2015).

98

99 There is therefore the need for more research on water quality in the district to determine the
100 extent of quality change that happens during transmission, more especially in communal
101 arrangements such as healthcare facilities and schools, where mass infections could occur.
102 Determination and identification of opportunistic pathogens in water is highly important in order
103 to control and prevent waterborne infections and may be used to assess the degree of risks
104 associated with the transmission of pathogens to humans through drinking water. Furthermore,
105 constant exposure of bacterial population to antibiotics could result in bacteria acquiring
106 resistance to antibiotics of clinical interest leading to compromised drug therapy. Increased
107 exposure to pathogenic microorganisms that may have acquired resistance poses a health risks
108 necessitating the need to study the trends of antibiotics resistance in water microorganisms.

109 **1.3 Objectives**

110 ***1.3.1 General objective***

111

112 The main objective of the study is to investigate the deterioration of water quality as it is
113 transmitted through the distribution network from treatment facility to consumers. This is done
114 by determining the physical, microbial and antibiotic resistance properties of drinking water
115 using both the culture dependent and culture independent methods.

116 ***1.3.2 Specific objectives***

117

118 In line with the overall objective, the specific objectives are to:

- 119 1. Analyse the following physicochemical parameters; turbidity and free residual chlorine.
- 120 2. Evaluate bacteriological quality of water samples by isolating indicator microorganisms.
- 121 3. Determine antibiotic resistance of the isolates and identify selected bacterial strains using
122 the 16S rDNA sequencing.
- 123 4. Use metagenomics to determine total microbial community of the water samples.

124 5. Investigate the presence of antibiotic resistance genes for both selected isolates and
125 metagenomics samples.

126

127 **1.4 Significance of the study**

128

129 Microorganism regrowth and biofilm formation contribute significantly to water quality, and
130 have often been associated with the deteriorating of water quality along the distribution networks
131 as it travels to the consumers. The proposed study investigate whether the treatment process used
132 is efficient and/or if there is any post treatment contamination as well as identifying the points of
133 re-contamination. The study analysed the quality of drinking water along the distribution system
134 from the treatment plant to consumer points to determine the level of re-contamination. In
135 addition, the study established if the bacteria isolated at various points of the distribution system
136 are pathogenic, or could be associated with occasional breakouts of water-related ailments in
137 Maun. Moreover, this study established the treatability of isolated bacteria with antibiotics by
138 testing their antibiotic resistance as well as detecting corresponding antibiotic resistance genes
139 that are often held accountable for coding for resistance mechanisms of many bacteria.

140

141 This study would thus contribute to understanding the quality of water and its deterioration along
142 the distribution system as well as factors that may be involved. The findings of this study will be
143 used as recommendations to the water authorities to put corrective measures to their current
144 operations for the purpose of providing adequate good quality water to the consumers. The
145 method currently used at the study area involves the sole use of indicator organisms in routine
146 water quality monitoring, which may exclude other pathogens present in the water. Furthermore,
147 the findings are expected to be used as reference material for further studies focusing mostly on
148 the methodology used for routine monitoring, thereby encouraging the use of molecular
149 techniques to curb the limitations of indicator techniques. Metagenomics approach can also be
150 introduced as complementary method for detection and identification of microorganisms, which
151 may have entered a viable but not cultured stage together with those that are not associated with
152 the coliform group.

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CHAPTER 2

2.0 REVIEW OF LITERATURE

Water supports life in every way and thus all organisms on the planet need water to survive (Chaplin, 2008). The human body is made up of two-thirds water used for maintenance of our bodies and plays a key role in the prevention of diseases. As such consumption of safe and adequate amounts of water is believed to decrease the risks of disease occurrence (WHO, 2008; Hrudey and Hrudey, 2014; APEC, 2015). Water quality and quantity are the two critical dimensions to every aspect of life. Water quantity is defined by the satisfactory pressure service provided to the consumer while quality is commonly defined by its aesthetic, physical, chemical and biological characteristics. Water quality is also an important indicator of environmental health as a good environment is one in which the quality of water is in a state to protect the public against any water borne diseases (Ercumen *et al.*, 2014; Ramírez-Castillo *et al.*, 2015). Therefore, drinking water quality should be monitored from time to time to protect the public from water-associated health risks. There are two types of drinking water quality monitoring: 1. compliance monitoring, this determines whether water supplies comply with requirements from relevant standards (e.g. BOS 32:2009; National Water Policy, 2011) and 2. operational monitoring, which checks if treatment works and distribution networks are operating effectively in delivering the water that meets the standards (WHO, 2004). Operational monitoring should provide caution on water quality deterioration, failing of treatment process and detects problems in the distribution networks (Chapman, 1996; Aisopou *et al.*, 2012; Van Blaricum *et al.*, 2016).

2.1 Public health issues on drinking water

Potable water is water that is free from any harmful pathogens. It is used for human consumption and is supposed to not cause any complications. When drinking water is contaminated it could result in outbreaks of waterborne diseases. World health Organization (WHO) estimates that about 1.1 billion people globally drink water that is not safe, and as a result the increase in diarrhoeal disease is attributable to drinking contaminated water (Ohimain, 2017; Soleimani *et al.*, 2017). Even though water authorities are committed to ensure that drinking water that leaves the treatment plant is safe for consumers' consumption, the quality of water may decline

184 dramatically from the time it leaves the plant to the time it reaches the consumer' tap (September
185 *et al.*, 2007). A significant number of disease outbreaks are attributed to contaminations that
186 occur between the treatment works and the tap (Edberg *et al.*, 2000; Blackburn *et al.*, 2004;
187 Enriquez *et al.*, 2001).

188
189 Under epidemiology, many waterborne outbreaks are mainly due to bacterial species like *E. coli*,
190 *Mycobacterium* species, *Salmonella* species, *Shigella* species, *Klebsiella* species, *Pseudomonas*
191 species and *Legionella* species. (Szewzyk *et al.*, 2000). Pathogens recovered from drinking water
192 are mainly of feacal origin (Ashbolt *et al.*, 2001; Hunter *et al.*, 2009). Some of these
193 microorganisms may be primary pathogens; those that cause disease or even death in healthy
194 individuals while others may be opportunistic pathogens; those that cause disease in individuals
195 with compromised immune systems conditions At high risk are infants under the age of 5 and
196 individuals whose immune systems are compromised such as patients on chemotherapy (Centers
197 for Disease Control, 2014; Simon *et al.*, 2015). Even low level exposure to these microorganisms
198 can cause discomfort or sickness (Villanueva, 2014).

199
200 The risk associated with the pathogenicity of microorganisms is intensified by the ability of
201 microorganism to resist destruction by antibiotics, which are very important for management of
202 infectious diseases caused by bacteria (Alighardashi *et al.*, 2009; Turkdogan and Yetilmezsoy,
203 2009; Versporten *et al.*, 2014). The phenomenon of bacteria to resist the effect of an antibiotic is
204 called antibiotic resistance and it is one of the concerning public health issues worldwide (Huang
205 *et al.*, 2011; Deblonde *et al.*, 2011). Antibiotic resistance is defined as a progression where
206 bacteria have developed different mechanisms to reduce effectiveness of the antibiotics used
207 against them (Lebeaux *et al.*, 2014; Yang *et al.*, 2014; Flores-Mireles *et al.*, 2015; Brown and
208 Wright, 2016). The resistance mechanism evolves from antibiotic resistance genes (ARGs)
209 present in organisms producing antibiotics (Fatta-Kassinos *et al.*, 2011). Genes encoding defense
210 mechanisms of resistance are located on the bacterial chromosome and are transmitted to the
211 next generation via horizontal gene transfer using mobile genetic elements such as plasmids,
212 integrons and transposons (Zhang *et al.*, 2009; Brown and Wright, 2016). Horizontal gene
213 transfer via conjugation is common in nature, because the density of bacteria is high making it
214 possible that the two suitable bacterial cells coming close to each other can share genetic

215 information (Brown and Wright, 2016). This gene transfer produces very dynamic genomes and
216 has effectively changed the biological and pathogenic character of bacterial species (Ochman et
217 *al.*, 2000). The occurrence and spread of antibiotic resistant bacteria (ARB) and ARGs are
218 pressing public health problems worldwide. This is mainly due to the fact that antibiotics are the
219 first line of medication provided for most of the bacterial treatments before definite tests are
220 made and if present in drinking water their genes can be disseminated to humans through
221 ingestion (Baquero *et al.*, 2008; Wright, 2010). Moreover, trace levels of antibiotics in water and
222 chlorination of the water can contribute to the development of ARB and ARGs and (Armstrong
223 *et al.*, 1981; Schwartz *et al.*, 2003; Xi *et al.*, 2009). ARB are known to be tolerant to chlorine and
224 some weaken the effect of chlorination (Armstrong *et al.*, 1981; Shrivastava *et al.*, 2004;
225 Templeton *et al.*, 2009). Studies have shown that chlorine disinfection can induce development
226 of ARB (Armstrong *et al.*, 1981; Bergeron *et al.*, 2015) and spread of ARGs (Xi *et al.*, 2009), as
227 a result of increased expression of the multidrug efflux pumps leading to tolerance towards the
228 disinfectant as well as antibiotics (Karumathil *et al.*, 2014; Singh *et al.*, 2017). Moreover, stress
229 tolerant bacteria due to chlorination might be more resistant to antibiotics as compared to normal
230 cells (Armstrong *et al.*, 1981; Huang *et al.*, 2011).

231
232 The ultimate residence of antibiotics excreted in animal and human wastes is the sewage
233 treatment plants where they escape treatment and end up in the environmental drinking water
234 sources. This is where antibiotics remain stable for months in the environment (Baquero *et al.*,
235 2008; Khalaf *et al.*, 2009; Kümmerer, 2009; Huang *et al.*, 2011; Rizzo *et al.*, 2013). Studies have
236 shown the occurrence of antibiotics in surface waters and sewage effluents and different drinking
237 water reservoirs (Mukherjee and Chakraborty, 2006; Castiglioni *et al.*, 2008; Khalaf *et al.*, 2009;
238 Kümmerer, 2009; Thevenon *et al.*, 2012). Certain bacteria can display resistance to one or more
239 antibiotics (multi-drug resistance) where their genetic material confers selective advantages of
240 surviving in the presence of multiple antibiotics (Modi *et al.*, 2014; White *et al.*, 2016).

241
242 The overabundance of genetic mechanisms for evolution and assortment of antibiotic resistance
243 genes, extensive use of antibiotics in human and veterinary medicines encourage rapid
244 dissemination of ARGs (Kümmerer *et al.*, 2009; Jiang *et al.*, 2011). Among all the ARGs,
245 sulfonamide ARGs, tetracycline ARGs (Pruden *et al.*, 2006; Auerbach *et al.*, 2007; Jiang *et al.*,

246 2013), and streptomycin ARGs (Araújo *et al.*, 2017) are commonly detected in the aquatic
247 environments. Class 1 integrons are the common cause of antibiotic resistance as they can
248 capture and express diverse resistance genes (Gilling *et al.*, 2008). They are commonly
249 embedded in plasmids and transposons and facilitate horizontal transfer into a wide range of
250 pathogens hence considered to be potential mobile genetic element (Gilling *et al.*, 2008). The
251 mobility of integrons is of major concern in the spread of antibiotic resistance since it is
252 associated with a variety of resistance gene cassettes among bacterial species, especially the
253 Gram negative bacteria (Barlow *et al.*, 2004; Labbate *et al.*, 2009; Xu *et al.*, 2011). Tetracycline
254 resistance has been used as the key element in monitoring resistance genes in natural
255 environments (Sandalli *et al.*, 2010). Localization of *tet* genes on movable genetic structures
256 (plasmids) is the main cause of tetracycline resistance among bacteria (Speer *et al.*, 1992;
257 Sandalli *et al.*, 2010). *tetA* and *tetB* code for energy dependent efflux proteins linked to repressor
258 proteins. The efflux proteins in the absence of tetracycline block transcription of the repressor
259 and structural efflux genes (Roberts, 1996).

260
261 Streptomycin is an aminoglycoside with direct interaction with the small ribosomal subunit at the
262 highly conserved region of transcription called ribosome accuracy center (Noller, 1991; Carter *et*
263 *al.*, 2000). The translational apparatus comprise of an rDNA domain and the ribosomal protein
264 (S12), which generates resistance to streptomycin (Springer *et al.*, 2001). Aminoglycosides
265 genes primarily inhibit bacterial protein biosynthesis by binding to the 16S rDNA (Becker and
266 Cooper, 2013). Sulfonamides are bacteriostatic inhibitors resulting from chromosomal point
267 mutations in the dihydropteroate synthase (DHPS) gene (Hoa *et al.*, 2008); an enzyme that is
268 insensitive to drug inhibition (Skold, 2001). Sulfonamides interfere with the formation of
269 dihydropteroic acid by blocking the enzymatic activity of the DHPS. It may also results from the
270 acquisition of plasmid borne *sul1* genes coding for resistant DHPS enzymes (Huovinen *et al.*,
271 1995).

272 **2.2 Drinking water treatment and supply**

273
274 There are many impurities (physical, chemical, biological as well as antibiotics) in surface water
275 that can harm human health if the water is consumed without treatment. Drinking water

276 authorities have put measures to ensure that the water that consumers take is free from impurities
277 and pathogenic microorganisms in order to avoid waterborne disease outbreaks.

278

279 ***2.2.1 Water treatment process***

280

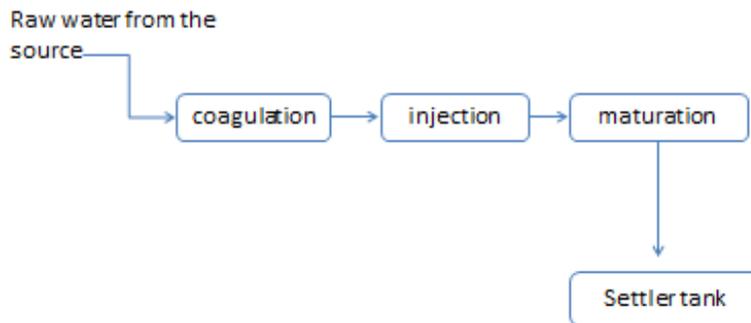
281 Best drinking water production practices are necessary and mandatory in order to provide safe
282 drinking water to the community. Water treatment involves pumping of raw water from either
283 surface or underground source into the treatment facility to undergo a series of treatment stages.
284 Water treatment involves different steps which are in place to remove any foreign material in the
285 water including waterborne pathogens since its goal is to produce water that is biologically and
286 chemically safe, free from colour, taste and odour (Momba *et al.*, 2009). Water suppliers use
287 different treatment processes to remove contaminants from drinking water and the choice
288 depends on the quality and variability of the raw water sources and the treatment objectives,
289 which may vary based on the end use (Blackburn *et al.*, 2004).

290

291 Drinking water treatment processes differ from country to country and in Botswana the water
292 sector uses the Actiflo conventional treatment involving various steps such as screening,
293 coagulation, flocculation, sedimentation and maturation (Figure 1.1). Screening is the removal of
294 floating debris, and coagulation involves addition of coagulant chemicals such as aluminum and
295 iron salts (Bhatnagar and Sillanpää *et al.*, 2017) to water to allow the naturally occurring
296 particles to aggregate and form flocs that can be removed by flocculation. After flocculation, the
297 water flows into the injection tank where flocculants and microsand are added to initiate floc
298 formation. The water then passes through a clarifier tank where it is mixed with air under high
299 pressure for removal of flocs making them rise to the surface as clear water moves to the
300 maturation tank. The maturation tank is where microorganisms, fine particles and organic matter
301 are removed. The clarified water exits the maturation tank and is collected in settler tanks where
302 chlorine is then added as a disinfectant for destruction of microorganisms present in the water.
303 The final water leaving the plant is recommended to contain a certain amount of free chlorine
304 concentration (0.6 - 1.0 mg/L) until it reaches the tap to avoid any possible contamination during
305 transmission (BOS 32: 2009).

306

307



308

309 Figure 1.1: Schematic diagram of the Actiflo treatment process used for treatment of drinking
310 water in Botswana.

311 **2.2.2 Drinking water supply**

312

313 Drinking water services providers have the responsibility of supplying water to customers and in
314 Botswana the water sector comprises of several institutions. The Botswana Bureau of Standard
315 (BOBS) under the Ministry of Trade and Industry, Department of Water Affairs (DWA) and
316 Department of Geological Survey under the Ministry of minerals, energy and water resources,
317 and Water Utilities Corporation (WUC) (Swatuk and Kgomotso, 2007). The nature of
318 association ranges from formulation and implementation of policies, planning, management,
319 supply and consumption. DWA is responsible for water sources and WUC is responsible for
320 treatment and distribution of drinking water. The country depends on two water resources;
321 surface water (rivers, dams, lakes) and groundwater from boreholes. Surface water resources are
322 limited in the country, with most rivers flowing for only a few times per year, as such different
323 parts of the country depend on different water sources. Maun village in Ngamiland District's
324 main water source is the Thamalakane-Boteti River, which is an outflow of the Okavango delta
325 (Gieske, 1997; Wolski and Savenije, 2006). Maun water provision is based on this perennial
326 river that receives water from Okavango Delta. On the other hand, the river receives treated
327 wastewater effluent from the village and run-offs from agricultural activities along the river coast
328 (Palamuleni, 2002; Nhapi *et al.*, 2002; Motsholapheko *et al.*, 2011). Treated waste water from
329 the Maun sewage treatment plant which is located upstream from the drinking water treatment

330 plant is discharged into the Thamalakane- Boteti River. The water is then treated to satisfactory
331 levels as according to BOS 32: 2009 standard prior to distribution

332 **2.3 Distribution system**

333
334 A water distribution system is designed to deliver drinking water to people at sufficient pressure,
335 quantity and quality (Walski and Male, 2000; Ministry of urban development, 2005). Adequate
336 water delivery to consumers requires a full technologically manageable and functional system for
337 easy and sustainable access of good quality water. Piped distribution is important to the safety
338 and quality of drinking water as the treatment itself. The American Water Works Association
339 (Kay, 1974) defines the water distribution system as all water utility components for the
340 distribution of drinking water through pumping networks to consumers. To transport water,
341 pumps must provide working pressures, convey sufficient water, holding tanks must store the
342 water for fluctuations in water demand and stabilizing pressure in distribution systems
343 (Longuevergne *et al.*, 2013), and valves needs to open and close properly. The systems are
344 generally comprises of pipes of varying sizes; distribution mains (75-254 mm), transmission
345 mains (305-427 mm) and service pipes (20-50 mm), then the water enters household installation
346 systems (Milzow *et al.*, 2009).

347 **2.3.1 Water quality in the distribution system**

348
349 Current drinking water treatment and disinfection technologies produce safe water in treatment
350 plants. Deterioration of water quality may however occur at various levels during transmission.
351 The two broad categories of water contamination are point source where harmful substances are
352 released directly into water body and nonpoint source which delivers pollutants indirectly
353 through transport or environmental change in the distribution network (Table 1.1). After the
354 treatment all the contamination is left to nonpoint sources. The residence time of the water,
355 concentration of organic compounds, decreasing chlorine concentration, development of biofilms
356 in the pipe surfaces, temperature and physicochemical characteristics of the pipe materials can
357 influence growth of microorganisms and could lead to change in the water quality during
358 distribution (Niquette *et al.*, 2000). Moreover, water quality may also degrade during distribution

359 due to contamination from external sources such as improperly maintained storage facilities and
360 other factors outlined in Table 1.1.

361

362 Table 1.1: Potential sources of contamination to potable water in the distribution system

363

Source of contamination	Mode of contamination
Treatment breakthrough	Pathogens may escape treatment and enter the distribution system.
Potable water storage holding tanks	Storage reservoirs can be susceptible to outside contamination if they are not adequately maintained by regular cleaning and disinfection, ultimately promoting the growth of bacteria.
Cross connections and backflow	Contamination can occur during negative pressure and backflow events.
Transient contamination	Intrusions may occur via leaky pipes, valves, joints and seals. As the pipes gets old, problems like leakage and break caused by corrosion.
Water main installation, breaks and repairs	The interior of pipes can be contaminated during installations and repairs.
Water age	Water aging leads to the deterioration of water quality especially in storage facilities.
Types of pipe material used	Pipe materials are crucial for maintenances of water quality during distribution and there are several materials and standard used globally which includes metallic (stainless steel galvanized steel, iron, and copper) and nonmetallic (chlorinated polyvinylchloride (CPVC), polyvinylchloride (PVC) and polyethylene (PE)) (Al-Jasser, 2007). The country uses mostly PVC and ductile ions which is also used in Maun with some parts of the country using stainless steel as their pipe material. PVC pipes contain traces of compounds that are harmful to the environment and solvents used to join PVC pipes and fittings together contain volatile organic compounds

Biofilm formation

Biofilm is a solid layer where microorganism cells are adherent to each other and to the surface and enclosed in a matrix of Extracellular polymeric substances (EPS) (Costerton *et al.*, 1987; Flemming, 2011). The solid-liquid interface between a solid surface (pipes) and liquid (water) provides an ideal environment for the adherence and growth of microorganisms (Cunningham *et al.*, 2008). After the attachment stage the microorganisms grow in the EPS and thereafter proliferate on the water as it flows by and impair the quality of water (Zacheus *et al.*, 2001). Biofilms in the drinking water distribution systems pose a threat to human health as some of the microorganisms are pathogenic causing waterborne diseases. Westall *et al.*, (2000) said that biofilms are robust and can remain unchanged for up to 3.5 billion years. The presence of biofilms in pipes may correlate with opportunistic pathogen including adherence, colonization and antimicrobial resistance (Van der Kooij *et al.*, 2017).

364

365 **2.3.2 Water quality parameters**

366

367 Surface runoff, anthropogenic activities and discharge of wastewater can cause contamination of
368 drinking water sources. This is in most cases controlled by the treatment process at the treatment
369 plant, however like every other human built environment; nonpoint source contamination can
370 occur (Table 1.1). Therefore, tracing the finished water quality of treated water becomes
371 important to ascertain if there is re-contamination after treatment. Water quality is based on
372 assessing the microbial, physical and chemical parameters. These parameters are linked to the
373 safety of the drinking water and deliver important information about the fitness of a water body
374 (Lenntech, 2005; Gupta *et al.*, 2009). To assess threats to human health, the most important
375 parameters considered are the physicochemical and bacteriological parameters, known to cause
376 water quality deterioration (Chidya *et al.*, 2011). In accordance with regulatory requirements,
377 BOBS has put in place guidelines for drinking water quality to be adhered to (BOS 32, 2009;
378 appendix 1).

379

380

381

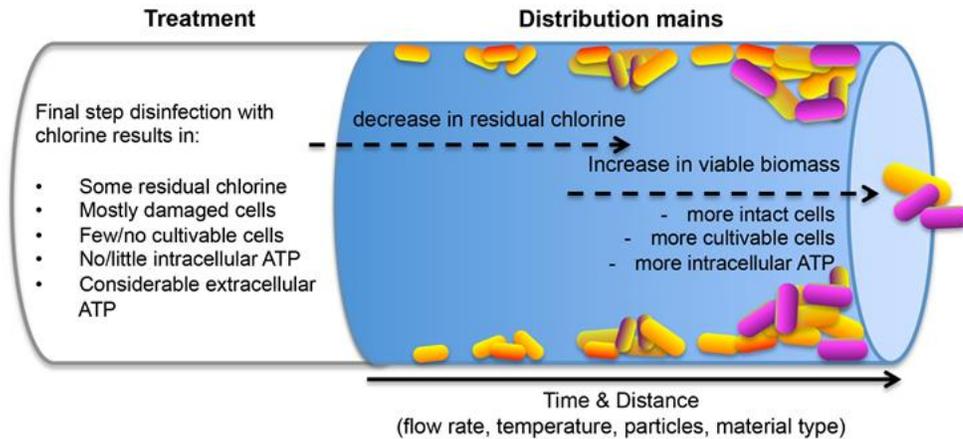
382

383 2.3.2.1 *Physicochemical parameters*

384
385 The physicochemical parameters listed in BOS 32: 2009 include turbidity, temperature,
386 dissolved oxygen, residual chlorine and conductivity. Chemical and physical parameters of water
387 give an indication of potential contamination. Water temperature of 15 °C has been reported as
388 critical for coliform growth and survival in water, biofilm formation and chemical reactions
389 (LeChevallier *et al.*, 1996; Pritchard *et al.*, 2009). BOS 32: 2009 standard states that turbidity
390 level for drinking water should be less than 1 NTU and WHO (2011) states that samples with
391 low values of less than 5 NTU should be accepted. Additionally, increase in turbidity during
392 distribution may indicate the presence of biofilms inside pipes and also outside contamination
393 entering pipes.

394
395 Free residual chlorine is used as a parameter to predict biological contamination events in the
396 distribution systems (Helbling and VanBriesen, 2008). Free residual chlorine measures the
397 portability of water and its presence correlates with the absence of pathogenic organisms. Free
398 chlorine concentration controls bacterial regrowth hence an important value in water quality
399 characterization (Lehtola, 2010). Regrowth of microbes in distribution systems is difficult to
400 prevent completely and water suppliers have resorted in adding excess chlorine to protect the
401 water from recontamination during storage (Lehtola, 2010). Ideal system supplies free chlorine at
402 a concentration of 0.6 - 1.0mg/L (BOS 32: 2009). Nonetheless, the concentration is most likely
403 to be inadequate to maintain the quality for 24 hours as chlorine decays with time and this could
404 negatively affects its ability to inhibit microbial growth (Figure 1.2) at the far ends network
405 (Niquette, 2001).

406



407

408 Figure 1.2: Unstable chlorinated distribution network (Nescerecka *et al.*, 2014).

409

410 2.3.2.2 Bacteriological parameters

411

412 Bacteriological parameters assess the safety and quality of drinking water using indicator
 413 organisms (Figure 1.3) which include total coliforms, heterotrophic bacteria, *E. coli*, and *F.*
 414 *streptococci* (Whitlock *et al.*, 2002; Pavlov *et al.*, 2004; BOS 32: 2009; Okeke *et al.*, 2011).
 415 Indicators microorganisms are mostly used as index of faecal pollution and the choice of which
 416 organisms to test for is mostly based on the presence of specific indicator pathogens and should
 417 be detectable by simple and inexpensive methods. Limitation of the indicators includes the
 418 different survival characteristics of pathogenic microorganisms which makes them not adequate
 419 indicators (WHO, 2000).

420

421 Indicator organisms are said not to be pathogenic but their presence indicates the presence of
 422 pathogenic microorganisms (Rompres *et al.*, 2002). Coliforms are mostly found in different
 423 natural environments and their presence in drinking water is considered as an indicative of water
 424 quality deterioration due to microbial contamination. In addition, most coliforms are not harmful
 425 and do not cause diseases but come from the same sources as pathogenic bacteria and hence their
 426 presence could mean possible contamination and waterborne disease threat (Center for disease,
 427 2013). When larger numbers of bacterial counts are detected in treated water, there is a high
 428 possibility that pathogenic bacteria exist, hence BOBS and WHO drinking water standards

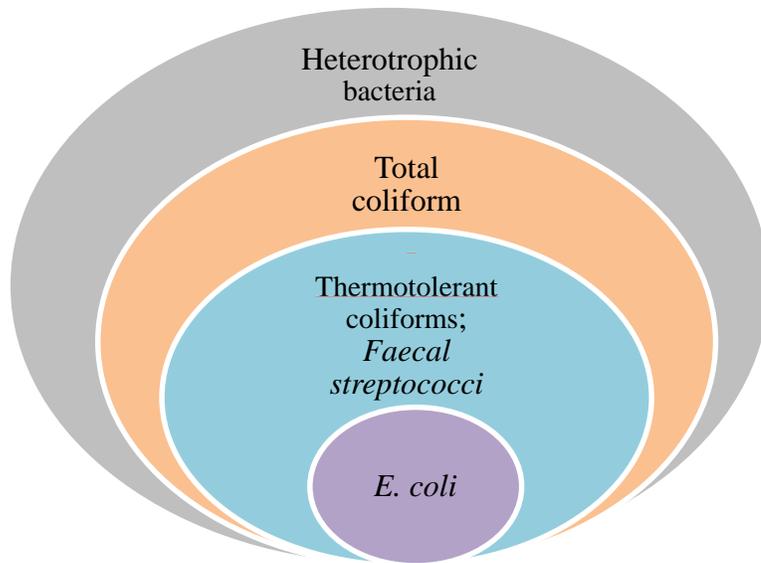
429 require the absence of any indicator microbes in public drinking water supplies in order to
430 declare the water safe to drink.

431

432 Deterioration of water quality is a major problem worldwide and to protect consumers from
433 waterborne diseases, therefore the drinking water quality should be extremely monitored
434 (Lehtola *et al.*, 2004). The reference method used for bacteriological monitoring in drinking
435 water is heterotrophic plate count (HPC), which measures the heterotrophic bacteria to indicate
436 the general microbial quality of water. This group of bacteria is commonly present in all types of
437 water and includes a broad range of bacteria that requires carbon source for growth. HPC is also
438 used to measure the efficiency of water treatment, disinfection processes and integrity of
439 distribution systems for regrowth of microorganisms in the distribution network. Under this
440 broad group of heterotrophic bacteria, there are primary bacterial group of coliforms which are
441 of faecal and non-faecal origin.

442

443 Total coliform include Gram negative, oxidase negative, non-spore forming rods bacteria that are
444 influenced by surface water and nonpoint contamination (Medema *et al.*, 2003; Payment *et al.*,
445 2003; WHO, 2008). Total coliforms evaluate the purity and reliability of a distribution system as
446 well as the presence of biofilm in the distribution network (Zamxaka *et al.*, 2004). Under total
447 coliform group is a group of *F. streptococci* which includes a large numbers of streptococcal
448 Gram positive, catalase negative, non-spore forming cocci. The group indicates the presence of
449 faecal contamination by warm blooded animals and nonpoint bacterial contamination in
450 waterways (Santiago-Rodriguez *et al.*, 2016; Taoufik *et al.*, 2017). Lastly, in the group of
451 coliforms is *E. coli* Gram negative, facultative anaerobic bacteria commonly found in the
452 intestine of warm blooded organism. The presence of *E. coli* in drinking water signals the high
453 likelihood of recent faecal contamination in the water (Edberg, 2000; Ashbolt *et al.*, 2004; Meays
454 *et al.*, 2004). Moreover, on rare cases *E. coli* O157:H7 can be found in water and this is a strain
455 that could cause serious illness (McQuigge *et al.*, 2000; Olsen *et al.*, 2002).



456

457 Figure 1.3: Diagram showing the relation of indicator bacteria.

458

459 **2.4 Methods used to study microbiological quality of drinking water**

460

461 Microbial analyses can be performed using culture-based approaches and non-culture methods
 462 (molecular approaches). Culture-based techniques are mostly used to detect, quantify and
 463 characterize bacteria in drinking water. They are currently used by water authority companies to
 464 routinely monitor microbial quality of drinking water (Rompré *et al.*, 2002; Vaz-Moreira *et al.*,
 465 2017).

466

467 ***2.4.1 Culture-based techniques used to study microorganisms in drinking water***

468

469 Traditionally, several methods have been used to determine the presence of indicator
 470 microorganisms in drinking water and they includes; multiple tube fermentation technique,
 471 spread plate, membrane filtration and Colilert (Maheux *et al.*, 2014; Heredia *et al.*, 2015).

472

473 Membrane filtration is a technique whereby water is filtered through a membrane filter to
 474 concentrate microbial cells. The filter is then removed and incubated on a specific medium for a
 475 given period of time and thereafter the developed colonies are enumerated (Douterelo *et al.*,
 476 2014). Examples of media are used include the plate count agar (PCA); a general media used to

477 monitor bacterial growth of a sample, Slanetz and Barkley; a very selective media for detection
478 and numeration of *F. streptococci* (Slanetz and Bartley, 1957) and membrane lactose
479 glucuronide agar, a medium for the differentiation and enumeration of *E. coli* and total
480 coliforms. Membrane lactose glucuronide agar contains lauryl-sulphate to inhibit Gram positive
481 organisms. One of the advantages of the filtration method is that large volumes of water sample
482 can be used to increase the concentration of cells. Also, the filters can be easily placed on
483 different media (Eckner, 1998; Scott *et al.*, 2002, Berry *et al.*, 2006). The drawbacks of the
484 filtration method are that when the water turbidity level is high it becomes difficult to filter out
485 the sample. Also, phenols can absorb to filters and inhibit growth and further testing are required
486 for confirmation of the coliforms recovered (Ashbolt *et al.*, 2001; Schraft and Watterworth,
487 2005; Yáñez *et al.*, 2006). Moreover, there is a decrease in coliform recovery because of the
488 presence of high numbers of non-coliform bacteria (Burlingame *et al.*, 1984; Rompré *et al.*,
489 2002). The predominant concern about membrane filtration is its failure to recover stressed cells
490 during physical and chemical steps involved in water treatment as damaged cells are unable to
491 grow on the media (LeChevallier, 1990; Douterelo *et al.*, 2014; Metsämuuronen *et al.*, 2014).

492
493 An alternative and sensitive method to microbial detection is the use of enzymatic reactions. The
494 Colilert test is an example of the enzyme-based test that simultaneously detects total coliforms
495 and *E. coli*. The test uses defined substrate technology, which contains two nutrient indicators, o-
496 nitrophenyl- β -D-galactopyranoside and 4-methylumbelliferyl- β -D-glucuronide as carbon sources
497 which can be metabolized by β -galactosidase and β -glucuronidase; enzymes found in coliforms
498 and *E. coli*, respectively (Rompré *et al.*, 2002; Chao, 2006). Unlike membrane filtration, Colilert
499 can detect non culturable coliforms.

500
501 Culture-based techniques are simple to perform because some of the bacteria grow in nature as
502 single cells making them easy to grow in culture medium. However, the culture dependent
503 methods only show (<1 %) of the total diversity of the water samples (Riesenfeld *et al.*, 2004).
504 This could discriminate against viable but not cultivable (VBNC) microbes. These are living
505 cells that have lost their ability to grow on microbiological media, which normally would allow
506 their growth (Oliver *et al.*, 2005). Moreover, some intact microorganisms are unable to grow on a

507 media plate because they are intracellular and live inside macrophages cells (Greub and Raoult,
508 2004; Kubica *et al.*, 2008; Mahamed *et al.*, 2017).

509

510 ***2.4.2 Molecular techniques used to study microorganisms in drinking water***

511

512 To avoid the limitations of culture-based techniques in representing the actual microbial diversity
513 (Doutereho *et al.*, 2014), molecular methods are used to identify microbial isolates or study
514 microorganisms within their environments, for example in soil and water (Fierer and Jackson,
515 2006; Janssen, 2006; Buée *et al.*, 2009; Santiago *et al.*, 2015).

516

517 ***2.4.2.1 Bacterial identification using 16s rDNA sequencing***

518

519 The identification of bacterial isolates and diversity in water using biochemical methods are not
520 always sufficient and do not provide the microbial species present in drinking water (Sanz and
521 Köchling, 2007). The advent of a variety of molecular techniques combining polymerase chain
522 reaction (PCR) and DNA sequencing has circumvented the problems associated with
523 biochemical techniques in identifying isolates, determining microbial diversity as well as
524 detection of specific genes (Rousselon *et al.*, 2004).

525

526 Molecular analysis of isolates recovered from water samples involves the extraction and
527 purification of DNA to provide genetic information of the isolate sample (Kahlisch *et al.*, 2012).
528 DNA extraction is followed by PCR amplification of marker genes which for bacteria is 16S
529 ribosomal DNA (rDNA) gene to obtain taxonomic information. The steps involved initial
530 denaturing of the DNA, annealing and finally extension of the new strand of DNA to produce
531 multiple copies of DNA fragments. The 16S rDNA gene is highly conserved across most
532 phylogenetic domains of bacteria and it allows understanding phylogenetic information from
533 bacteria inhabiting different ecosystems (Prosser, 2002). In addition, 16S rDNA gene sequencing
534 provides genus and species identification for isolates that are mostly broadly categorized under
535 their family (Drancourt *et al.*, 2000; Mignard and Flandrois, 2006). The DNA amplicons
536 obtained from PCR form the basis for all the post PCR analysis including sequencing and

537 construction of phylogenetic trees. Various bacteria carry ARGs and once present in the
538 environment, may be transferred among bacterial species (Davison, 1999; Agersø *et al.*, 2007).

539
540 DNA sequencing is fundamental to research, defined as the process of determining the precise
541 order of nucleotides within a DNA molecule (Ronaghi, 2001). It is important in disease
542 discovery, forensics science, and identifying microorganisms in natural environments (Douterelo
543 *et al.*, 2014). There are several DNA sequencing techniques which involves, Maxam-Gilbert
544 sequencing, Sanger sequencing and next generation sequencing. Next generation sequencing
545 (NGS), involves the production of several gigabases of sequence data in a single run, at high
546 speed, cost-effective and high-throughput nature (Mardis, 2008). Examples of NGS platforms
547 include Roche/454, the Illumina, and ion torrent semiconductor sequencers (Metzker, 2010;
548 Brocchieri, 2014).

549
550 Recent studies used Roche/454 pyrosequencing to characterize bacterial communities from
551 samples from tap water; (Grahn *et al.*, 2003; Douterelo *et al.*, 2013), treatment plants (Jonasson
552 *et al.*, 2002; Gharizadeh *et al.*, 2003) , assess the effect of disinfectants on microbial community
553 (Grahn *et al.*, 2003; Douterelo *et al.*, 2013). Limitations of this method involve accuracy
554 problems with homopolymeric repeats, emulsion PCR, its relatively expensive and the difficulty
555 in differentiation between live and dead cells (Douterelo *et al.*, 2014). Other technologies like the
556 Illumina and ion torrent have advanced to try and solve the problems experienced with the
557 Roche/454.

558 2.4.2.2 Metagenomics

559
560 Microorganisms are ubiquitous; despite their ubiquity the extensive efforts to study them have
561 not been efficient due to current limitations in cultivation technologies (Chao *et al.*, 2013).
562 Culture-based techniques are often biased toward a small fraction of the inhabiting microbiota,
563 while the use of DNA-based approaches, which targets phylogenetic genes provide limited
564 information on health consequences of the microbial groups detected (Gomez *et al.*, 2012). The
565 field of metagenomics permitted the detection of culturable and non-culturable bacteria in a
566 particular environmental sample. Metagenomics has proven to be successful in accessing the
567 biosynthetic machinery of uncultured bacteria, thus bypassing traditional molecular methods that

568 rely on cultivation (Singh *et al.*, 2009; Vaz-Moreira *et al.*, 2014). Metagenomics is defined as the
569 application of genomics to the mixed genetic material analysed and sequenced directly from
570 environmental samples (Shokralla *et al.*, 2012; Rashid and Stingl, 2015) and have high degree of
571 sensitivity (Douterelo *et al.*, 2014, Reddy *et al.*, 2014; Salipante *et al.*, 2015).

572

573 Metagenomics bypasses the need for isolation or cultivation of microorganisms by direct
574 isolation and sequencing of community DNA (environmental DNA) (Lamendella *et al.*, 2011;
575 Gilbert and Dupont, 2011). Environmental DNA (eDNA) have the advantage of dealing with
576 VBNC microorganisms. VBNC state is a critical adaptive mechanism found in non-spore
577 forming bacteria having an intact cell membrane with undamaged genetic material (Heidelberg *et*
578 *al.*, 1997; Cook and Bolster, 2007), and bacteria enter the VBNC state as an adaptive strategy
579 under nutritionally deficient conditions and low temperatures (Biosca *et al.*, 1996; Ducret *et al.*,
580 2014). Metagenomics is now providing significant insights into the sizes and metabolic functions
581 of the genomes of a wide variety of microorganisms (Hasan *et al.*, 2014; Jimenez-Infante *et al.*,
582 2014; Reddy *et al.*, 2014) and is a promising tool to detect the entire organismal DNA found in
583 water.

584

CHAPTER 3

585

3.0 METHODOLOGY

586 3.1 Study Area

587

588 Botswana experiences water scarcity and rainfall varies widely throughout the country, averaging
589 less than 250 mm/year in the southwest up to about 600 mm/year in the northeast. The country
590 depends on two types of water resources; surface water and groundwater (Bauer, 2006). Maun
591 village is situated in Northwest District of Botswana, and has a population of approximately 60
592 263 according to 2011 census (Statistics Botswana, 2011). The village's raw water source is
593 mostly dependent on perennial Tlhamalakane river, which is one of the tributaries of the
594 Okavango delta (McCarthy and Ellery, 1998; Masamba and Mazvimavi, 2008).

595 In this thesis drinking water quality of Maun distribution system was investigated to trace the
596 integrity of the water along the distribution network.

597

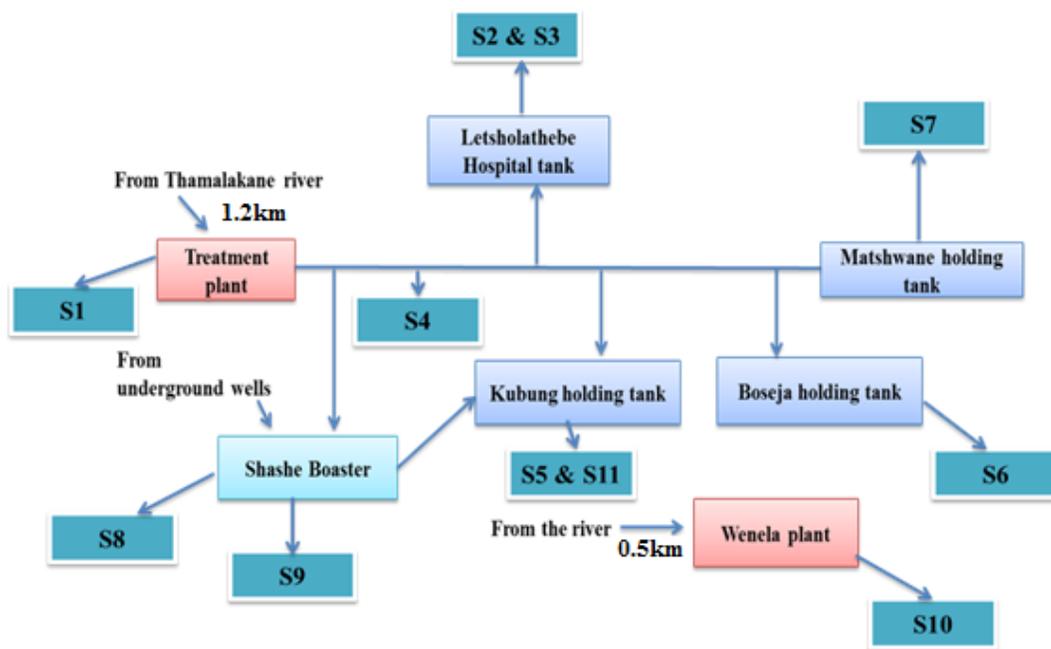
598 Map of sampling points and the distance from the treatment plants



599

600 Figure 3.1: Goggle earth map of sampling sites in Maun, Botswana (by Oaratwa Mashiqua).S1,
601 treatment plant; S2, pediatrics ward (Letsholathebe memorial hospital); S3, theater
602 ward(Letsholathebe memorial hospital); S4, Maun general clinic; S5, Maun clinic; S6, Boseja
603 clinic; S7, Matshwane clinic; S8, booster plant; S9, Thito clinic; S10, Sedie clinic; S11, Moeti
604 clinic.

605 **3.1.1. Maun water distribution system overflow**
 606



607

608 Figure 3.2: Schematic representation of the process of drinking water production and distribution
 609 analysed in the study. Samples were collected at the end of the treatment process at the treatment
 610 plant (S1) and at the different distribution points (S2- S11).

611

612 **Table 3.1 Distance between the treatment plant and sampling sites**

Treatment plant	Sampling point	Distance (km)
Borolong Treatment plant (S1)	S2 and S3	5.4
	S4	0.2
	S5	1.7
	S6	2.2
	S7	4.5
	S8	2.5
	S9	2.4
	S11	4.6
Wenela treatment plant	S10	2.5

613

614 **3.2 Water Sampling**

615
616 Water samples were collected from the main treatment plant, a booster, main hospital, seven
617 clinics (Figure 3.1, 3.2 and table 3.1). A 1 L water sample was taken once per site in May,
618 September 2016 and in January, March 2017. Prior to sampling, the water taps were flushed for
619 3 minutes and then sterilized by heat flaming using a blow torch for 60 seconds, and then flushed
620 again for additional 30 seconds. Water was collected aseptically in sterile 500 mL Duran Schott
621 glass bottles from different sampling points. The samples were labeled and transported to Maun
622 Department of Water Affairs laboratory for analysis following the BOS ISO 5667-5:2006
623 standard, and analyzed using standard microbiological procedures under BOS 32:2009 standard.
624 A total of 44 water samples were collected throughout the study. All the water samples were first
625 analyzed for free chlorine levels (mg/L) using HI-96711C Free and Total Chlorine colorimetric
626 (Hanna instruments, UK) and turbidity level measured in Nephelometric Turbidity Units (NTUs)
627 using the Hach 2100Q Turbidimeter (Life Science, London).

628 **3.3 Isolation and enumeration of bacteria**

629
630 Microbiological parameters are used as indicators of the bacteriological quality of drinking water
631 (Svagzdiene *et al.*, 2010; Payment and Locas, 2011). These indicators include *F. streptococci*,
632 total coliforms, *E. coli* and heterotrophic bacteria and their presence relates to possible presence
633 of enteric pathogens in drinking water systems.

634 ***3.3.1 Isolation by membrane filtration and purification of isolates***

635
636 The number of viable culturable bacteria in collected water samples was determined by
637 membrane filtration method (Novo and Manaia, 2010; Lin *et al.*, 2016). For all the samples
638 volumes of 100 mL were filtered through 0.45 µm pore size filter which were aseptically placed
639 on respective selective media ensuring that no air bubbles were trapped. The selective media
640 used were Slanetz and Barkley (enterococcus agar) (HImedia Laboratories) for *F. streptococci*,
641 plate count agar (PCA) (Acumedia, Leshner) for heterotrophic bacteria and membrane lactase
642 gluconide agar (MLGA) (MRD; Oxoid CM733) for total coliforms and *E. coli*. All the media
643 were prepared according to the manufacturers' instructions. Heterotrophic bacteria, total
644 coliforms and *E. coli* plates were incubated at 37 °C for 24 hours and the incubation conditions

645 for *F. streptococci* were 44°C for 48 hours. The colonies formed after incubation periods were
646 enumerated and characterized. The results were expressed as the number of colony forming units
647 (CFU) in 100 mL of water. Isolated colonies were then purified by subculturing twice using
648 streak plating method. Pure culture isolates were put in 50% glycerol and stored at -80 °C until
649 further identification tests and analysis.

650 **3.3.2 Enzymatic detection assay**

651
652 Aliquots of 100 mL of water samples were used using the Quanti-Tray 2000 Colilert (IDEXX
653 Laboratories, Portland, USA) to simultaneously detect and quantify total coliforms and *E. coli* in
654 water samples. 100 mL of the sample was transferred into IDEXX water sampling bottles that
655 contained sodium triphosphate and the pack of colisure reagent was poured into the samples. The
656 reagent was allowed to dissolve before the solution was transferred into a 51-well Quanti-Tray.
657 The tray was then sealed with a Quanti-Tray sealer, which automatically distributes the reagent
658 mixture into the separate wells. The sealed trays were incubated at 35 °C for 24 hours. After
659 incubation, the wells with the yellow-orange colour was read as positive for total coliforms and
660 *E. coli* was determined by the number of wells that fluorescence at wavelength 540 nm after
661 incubation under UV light. The number of wells that presented a positive colour and
662 fluorescence were subjected to the most probable number (MPN) table to obtain the MPN of
663 total coliforms and *E. coli* per 100 mL of the sample.

664 **3.4. Antimicrobial susceptibility testing**

665
666 The antimicrobial susceptibility test determines the sensitivity or resistance of pathogenic
667 bacteria with respect to different antimicrobial compounds (Begum *et al.*, 2017). The ability of a
668 microorganism to grow around the disk shows the inability of the antimicrobial compound in the
669 disk to inhibit the organism (Darabpour *et al.*, 2012; Begum *et al.*, 2017). An antibiotic
670 susceptibility test was performed using the Kirby-Bauer disk diffusion method using standard
671 impregnated antibiotic disks (Oxoid, UK; Bauer 1966), as according to (Saffari *et al.*, 2016;
672 Gefen *et al.*, 2017). Panels of 15 antibiotic discs (Oxoid, UK) (Table 3.2) representing antibiotics
673 that are widely used in clinical diagnostics were tested against 150 bacterial isolates. Fresh
674 bacterial suspensions were prepared from previously stored isolates in glycerol by picking and
675 transferring single colonies into 3 mL of sterile distilled water. Aliquots of 100 µL of the

676 suspensions were spread plated on Mueller-Hinton (Franklin Lakes, NJ) agar plates. Different
 677 antibiotic discs were applied on top of the medium using an antibiotic discs dispenser (Oxoid,
 678 UK), and the plates were incubated at 37 °C for 24 hours (National Committee for Clinical
 679 Laboratory Standards, 2000). After incubation, the plates were determined for antibiotic
 680 susceptibility by measuring diameters zones of inhibition using digital vernier caliper. The
 681 inhibition zone results obtained were used to classify isolates as resistant, intermediate resistant
 682 or susceptible to a particular antibiotic using standard reference (Clinical and Laboratory
 683 Standards Institute; M100-S20 Vol.30, No.1). Multiple antibiotic resistance (MAR) defined as
 684 diminished susceptibility to >1 of the antibiotics used (Paterson, 2006; Abula and Kedir, 2017)
 685 and phenotypes were collated for isolates that showed resistance to >3 antibiotics.

686

687 **Table 3.2: Antibiotics minimum inhibitory concentrations and their spectrum of activity**

Antibiotics	Abbreviation	Concentration	Spectrum of activity
Ampicillin	AMP	10 µg	broad
Streptomycin	S	10 µg	broad
Chloramphenicol	C	30 µg	broad
Neomycin	N	30 µg	broad
Amoxicillin	AML	10 µg	broad
Ciprofloxacin	CIP	5 µg	broad
Gentamycin	CN	10 µg	broad
Rifampicin	RD	5ug	broad
Tetracycline	TET	30 µg	broad
Trimethoprim	W	5 µg	broad
Mecillinam	MEL	30 µg	broad
Kanamycin	K	30 µg	broad
Penicillin	PEN	16 µg	Narrow (Gram negative)
Meropenem	MERO	4 µg	broad
Sulfamethoxazole	SUL	512 µg	broad

688 National Committee for Clinical Laboratory Standards (2000)

689 **3.5 Molecular identification of the isolates**

690
691 Various molecular techniques; PCR, 16S rDNA analysis, DNA sequencing and phylogenetic
692 analyses were used for definitive identification of the isolates. Microbial diversity of
693 environmental samples was determined using a metagenomics approach.

694 ***3.5.1 Extraction of genomic DNA***

695
696 Ten isolates were selected for molecular identification. Selection was based on their degree of
697 resistance to the above mentioned antibiotics per sampling site. The DNA was isolated according
698 to Mirmohammadsadeghi *et al.*, (2013). Isolates cultured in nutrient broth overnight were
699 pelleted by centrifugation at 14000 rpm for 10 seconds after which the supernatants were
700 carefully removed to avoid disturbing the pellets at the bottom of the tube. 600 µL of the cell
701 lysis buffer (10 mM Tris, and 1 mM EDTA and 0.1 M SDS) was added and gently mixed to re-
702 suspend the isolate before incubating at 80 °C for 5 minutes. This was followed by cooling the
703 mixtures to room temperature and adding of 3 µL of cell RNase solution to the tubes, then
704 mixing by inverting the tubes 25 times. The mixtures were then incubated at 37 °C for 30
705 minutes. The samples were allowed to cool to room temperature before adding 200 µl of
706 ammonium acetate and vortexing gently. The samples were thereafter centrifuged at 14000 rpm
707 for 3 minutes to pellet the DNA. The supernatants were decanted and the pellets re-suspended in
708 600 µL of isopropanol. The mixtures were gently mixed by inverting the tubes 50 times and
709 centrifuging again at 14000 rpm to pellet the DNA. The DNA pellets were washed twice with
710 600 µL of 70 % and inverted a few times, centrifuged at 14000 rpm for a minute and the
711 supernatants discarded. The pellets were dried at 37 °C in an oven for 30 minutes. Lastly, 100 µL
712 of TE buffer was added to hydrate the DNA, which was then ready for further analysis.

713

714 ***3.5.2 Polymerase Chain Reaction for amplification of 16S rDNA***

715
716 Amplification of 16S rDNA was performed using the 16S universal bacterial primers E786F (5 -
717 GATTAGATACCCTGGTAG- 3) and U926R (5 -CCGTCAATTCCTTTRAGTTT-3) (Soergel,
718 2010; Samarajeewa *et al.*, 2015) to amplify the 140 bp region of the 16S rDNA gene (Yergeau *et*
719 *al.*, 2012). A 25 µL PCR reaction mixture contained 3 µL of 1.5 µmol/L of each primer, 7.5 µL

720 of sterile ddH₂O, and 12.5 µL of master mix to which 2 µL of template DNA was added. The
721 master mix was made of an optimized buffer, Taq DNA Polymerase, dNTP mixture and gel
722 loading dye. The thermal cycling protocol involved 5 minutes initial denaturation at 95 °C
723 followed by 30 cycles of denaturation at 98 °C for 10 seconds, 30 seconds annealing at 55 °C
724 and 45 seconds extension at 72 °C. The final extension was performed for 1 minute at 72 °C. The
725 template DNA was amplified in a programmed thermocycler (Life Technologies, Singapore).

726
727 PCR amplicons were separated by electrophoresis on 1 % (w/v) agarose gel stained with 0.7
728 µg/mL ethidium bromide. Electrophoresis was conducted for 90 minutes at 120 V using 1X TBE
729 buffer (Tris, 0.5 mM EDTA, and boric acid, pH 8.0). A 1 kb DNA molecular weight marker and
730 tracking dye were used. The gels were visualized under UV light in the Gel-doc (Upland, CA,
731 USA).

732 ***3.5.3 DNA sequencing and phylogenetic analysis***

733
734 Sequencing of the amplified PCR amplicons was performed using pyro-sequencing next
735 generation sequencing method by Inqaba Biotechnology laboratories, South Africa. PCR
736 amplicons were purified with QIAquick PCR Purification Kit (Qiagen, Hilden, German)
737 according to the manufacturer's recommendations. Single DNA strands were sequenced using
738 Roche GS-FLX 454 Genome Sequencer and edited using BioEdit and analyzed by comparing
739 with known 16S rDNA sequences using the NCBI-BLAST algorithm (Blastn) to find the closest
740 match in GenBank (Altschul *et al.*, 1990). Sequences obtained from GenBank were firstly
741 aligned by multiple sequence alignment technique using CLUSTAL W (Larkin *et al.*, 2007).
742 Phylogenetic trees were constructed from the neighbor-joining analysis model (Saitou and Nei,
743 1987), using MEGA version 7.0 software (Quast *et al.*, 2012; Yilmaz *et al.*, 2014; Kumar *et al.*,
744 2016).

745 ***3.5.4 Detection of antibiotic resistance genes***

746
747 The ten bacterial isolates which were subjected to PCR identification and sequencing were
748 further investigated for the presence of antibiotic resistance genes using primer specific for each
749 of the targeted gene and the annealing temperature as described in Table 3. Genomic DNA was
750 extracted from the isolates as template for PCR of the identified bacteria strains. PCR reaction

751 mixture contained 2 μ L of template DNA, 1.5 μ mol/L of each primer (Table 3.3), 7.5 μ L of
 752 sterile ddH₂O, and 12.5 μ L of master mix making a final volume of 25 μ L. The amplification of
 753 all the genes was carried in a thermocycler (Life Technologies, Singapore). PCR amplicons were
 754 separated by electrophoresis on 1 % agarose stained in 0.7 μ g/mL ethidium bromide. Thereafter,
 755 the gel was visualized under UV light to confirm amplification and visual band were considered
 756 positive for the targeted gene.

757 Table 3.3: Primers used for antibiotic resistance genes
 758

Target gene	Resistance phenotype	Annealing temp ($^{\circ}$ C)	Target Sequence (5'-3')	Amplicon size (bp)	Reference
<i>int1</i>	Class I integron	55	CAGTGGACATAAGCCTGT TC CCCGAGGCATAGACTGTA	461	Iyobe <i>et al.</i> , (2000)
<i>strepB</i>	Streptomycin	46.1	ATACAAATTCTGCTGACTACG TTAAATCCTTCCTGACCATTCC	500	Sadaka <i>et al.</i> , (2017)
<i>tetA</i>	Tetracycline	59	GCTACATCCTGCTTGCCTTC CATAGATCGCCGTGAAGAGG	210	Selvam <i>et al.</i> , (2001)
<i>tetB</i>	Tetracycline	54	TTGGTTAGGGGCAAGTTTTG GTAATGGGCCAATAACACCG	659	Selvam <i>et al.</i> , (2001)
<i>sul1</i>	Sulfonamides	53.1	CGGCGTGGGCTACCTGAACG GCCGATCGCGTGAAGTTCCG	921	Peirano <i>et al.</i> , (2005)

759 **3.6 Metagenomics analysis**

760
761 Metagenomics is a molecular method that by-pass the need for culture isolation of
762 microorganism through direct extraction of environmental DNA (eDNA) in a sample, followed
763 by construction of genetic libraries. The approach is to treat the collective genomes of a bacterial
764 community as a single large genome. Four samples were chosen S1, S2, S5 and S11 to determine
765 the trend of microbial contamination from the source (S1) and the health care facilities with the
766 highest daily number of patients and the highest number of antibiotic resistance bacteria isolate
767 (S2, S5 and S11).

768

769 ***3.6.1 DNA extraction and sequencing of eDNA***

770
771 Planktonic microorganisms' cells were concentrated by filtration of 100 mL water samples
772 through 0.2 µm pore size Supor-200 filters using a membrane filtration apparatus. The
773 membranes were immediately cut into smaller pieces before adding to the lysis tube. DNA was
774 extracted using zyco soil DNA isolation kit (Zymo Research, Germany) following
775 manufacturer's instructions. 750 µL lysis solutions was added to the tube secured in a bead
776 beater and the tubes were vortexed vigorously for 30 minutes to detach the bacteria cells from
777 the membranes followed by centrifugation at 9500 rpm for 1 minute. The supernatants were
778 transferred to spin filters and centrifuged at 9500 rpm for 1 minute followed by DNA wash at
779 9500 rpm for 1 minute. The extracted DNA were eluted and centrifuged at 9500 rpm for 30
780 seconds. DNA templates integrity was assessed by electrophoresis. 1 % (w/v) agarose gel stained
781 with 0.5 µg/mL ethidium bromide. Electrophoresis was conducted for 90 minutes at 120 V using
782 1X TBE buffer. A 1 kb DNA molecular weight marker was used. Metagenomics sequencing and
783 bioinformatics analysis were carried out at Inqaba biotechnology Laboratories, South Africa,
784 targeting the 16S region using the next generation sequencing and ion torrent approach. After
785 sequencing, the data were analyzed using the Ion 16S™ metagenome analyses module within the
786 Ion Reporter™ to enabling a rapid and semi-quantitative assessment of complex microbial
787 samples. The blast results were presented in krona chats to show the diversity of the microbial
788 community.

789 **3.6.2 Detection of antibiotic resistance genes in environmental DNA**

790

791 The presence of genetic elements encoding antimicrobial resistance in the microbiota of drinking
792 water was investigated through molecular analysis. The genetic determinants targeted are listed
793 in Table 3.3: class 1 integrons (*int1*), the gene encoding resistance to sulphonamide (*sul1*),
794 streptomycin (*strepB*) tetracycline efflux protein (*tetA*) and (*tetB*). As described earlier, the PCR
795 reaction mixture contained 2µL of template DNA, 1.5 µmol/L of each primer, 7.5 µL of sterile
796 ddH₂O, and 12.5 µL of master mix making a final volume of 25 µL. PCR products were
797 separated by electrophoresis on 1 % agarose stained in 0.5 µg/mL ethidium bromide. Amplicons
798 were visualized under UV light on the same GelDoc machine.

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CHAPTER 4

4.0 RESULTS

Water quality was determined in 11 sampling points in Maun village. The sampling sites included mainly taps from the treatment plant and from the clinics. Different parameters including physicochemical parameters, microbiological quality and the antibiotic resistance profiling of isolates recovered from the water samples were analyzed over a 4 period's interval. WHO (2013) and BOS 32: (2009) drinking quality standards were used as reference to determine if the quality water meets the specified requirements.

4.1 Physicochemical parameters

For physicochemical parameters, turbidity and free residual chlorine levels were determined.

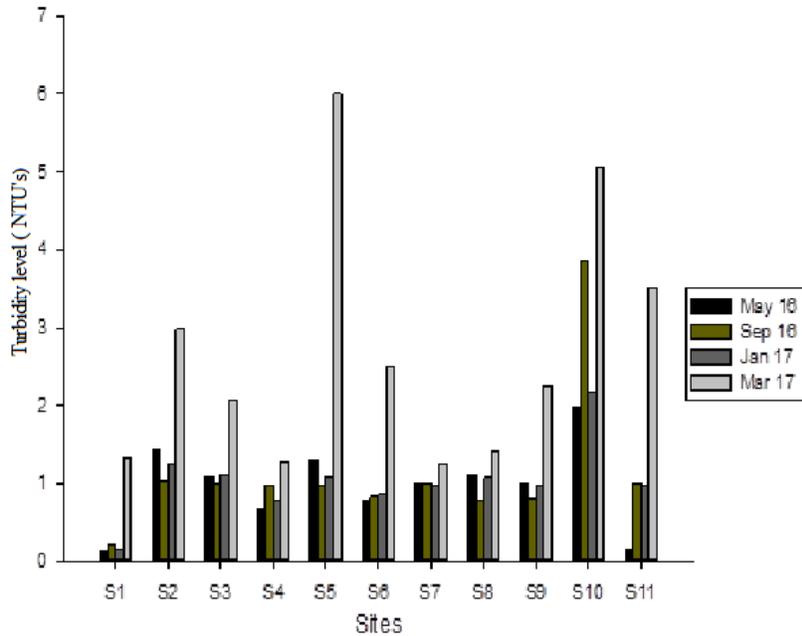
4.1.1 Turbidity

All the sampling points had turbidity levels ranging from 0.11 to 6 NTU (Figure 4.1). The variation within the sampling sites were not statistically significant ($P=0.15$) using one way ANOVA with S10 having the highest turbidity level with a mean value of 2.97 NTU while S1 had the lowest with 0.47 NTU. March 2017 samples recorded the highest mean value of 2.73 NTU and the lowest value was recorded in September 2016 (0.87 NTU).

4.1.2 Free chlorine

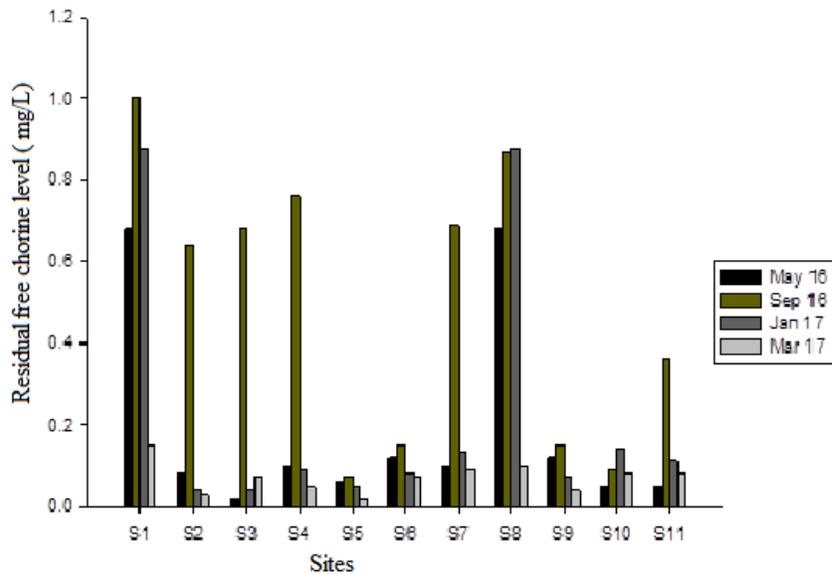
Residual chlorine recorded was in the range of 0.001 to 1 mg/L (Figure 4.2). The variation within the sampling sites using one way ANOVA were not statistically significant ($P=0.18$) where S1 had the highest mean of 0.68 mg/L and the lowest of 0.03 mg/L was recorded at S10. Variation between the months was statistically significant ($P=0.001$) having September recording the highest mean of 0.54 mg/L and the lowest was observed in March of 0.07 mg/L. The water samples for September were within the BOS 32: 2009 minimum recommended level of 0.6 mg/L except for water samples from S5, S6, S10 and S11 (Figure 4.2). In January and May, only S1

831 samples met the required level of residual chlorine while the other sites were below the
 832 recommended value 0.6 to 1 mg/L. Lastly in March, all the sampling points recorded lower than
 833 0.6 mg/L.



834

835 Figure 4.1: Turbidity level of the water samples collected at different sites



836

837 Figure 4.2: Residual free chlorine level recorded in water samples from different sampling sites.

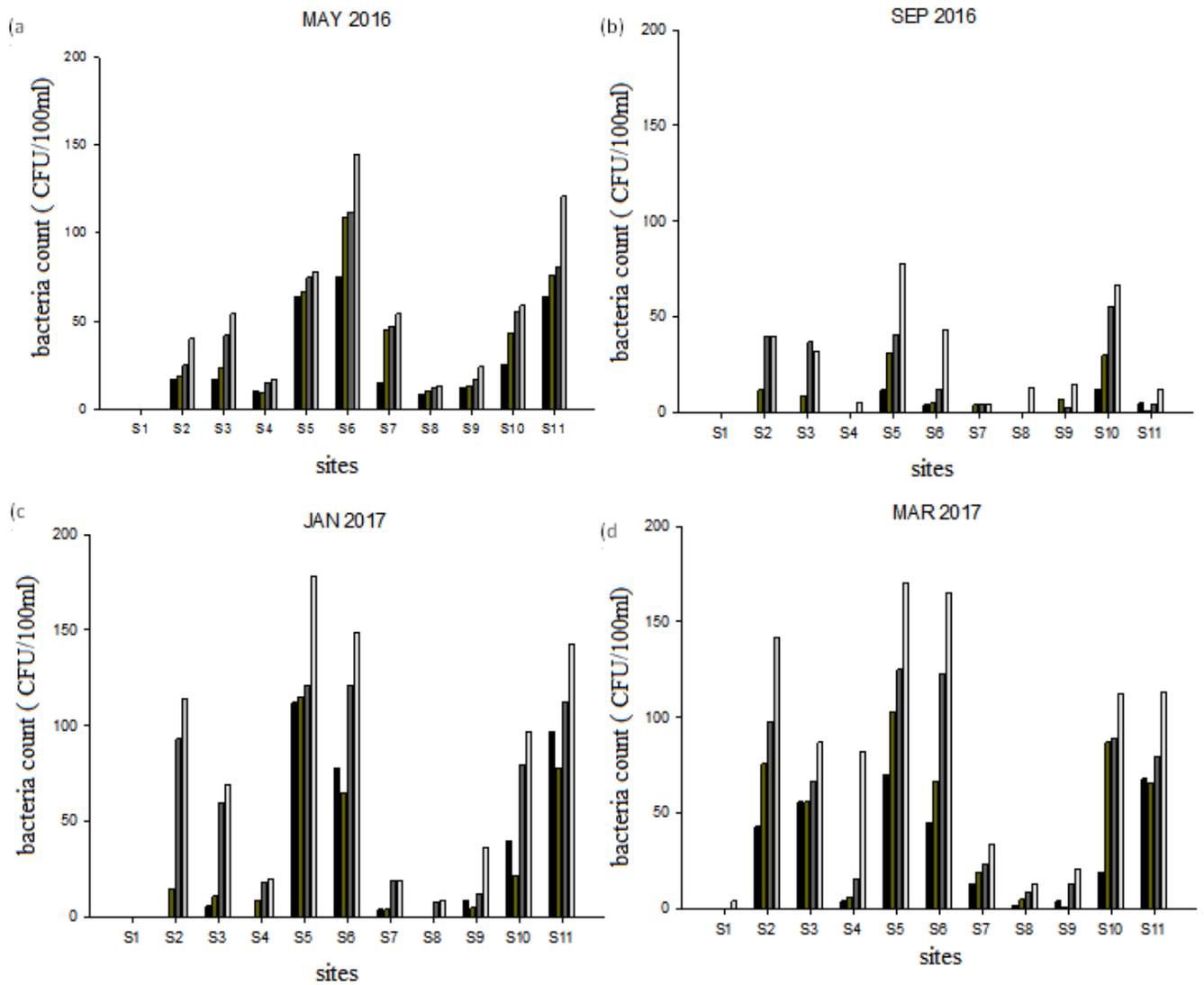
838 4.2 Microbial quality of drinking water

839
840 Microbial quality was determined by analyzing water samples for heterotrophic bacteria, total
841 coliform, *F. streptococci* and *E. coli* and the results are summarized in Figure 4.3. The results
842 indicate there was bacterial contamination in most of the sampling sites, with total of 6318
843 isolated bacterial colonies in 44 water samples and its statistically significant ($P=0.002$) using
844 one way ANOVA. The data showed significant differences between months ($P=0.001$), the
845 highest mean value (54 CFU/100mL) was recorded in March while the lowest (15 CFU/100mL)
846 was recorded in September. Moreover, there was significant differences among the sampling
847 sites ($p=7.83E-15$), the highest mean value (91 CFU/100mL) was reported in S5 (March) while
848 the lowest (1 CFU/100mL) was reported in S1 (September).

849
850 The results showed positive for heterotrophic bacteria in March for all the sites. In the other
851 months all indicator microorganisms were positive and unacceptable quality of water according
852 to BOS 32: 2009 except for S1, which recorded zero counts (Figure 4.3). The highest mean
853 counts for the indicator microorganisms tested were recorded in S5 (91 CFU/100mL), S6 (79
854 CFU/100mL), S11 (57 CFU/100mL), S10 (43 CFU/100mL), S2 (40 CFU/100mL), S3 (28
855 CFU/100mL) as compared to S9 (12 CFU/100mL), S7 and S4 (9 CFU/100mL), and S8 (3
856 CFU/100mL). The highest mean count for heterotrophic bacteria and total coliform was recorded
857 in March having 52 CFU/100mL and 59 CFU/100mL respectively while the lowest mean count
858 for heterotrophic bacteria and total coliforms was recorded in September as 27 CFU/100mL and
859 19 CFU/100mL respectively. For all the sites the highest mean was observed in S5 (126
860 CFU/100mL) heterotrophic bacteria, (97 CFU/100mL) total coliform, (81 CFU/100mL) *F.*
861 *streptococci*, and (61 CFU/100mL) in *E. coli* while almost nothing was recorded in S1 (1
862 CFU/100mL), (0 CFU/100mL), (0 CFU/100mL), and (0 CFU/100mL) for heterotrophic bacteria,
863 total coliform, *F. streptococci* and *E. coli* respectively.

864
865 For *F. streptococci* the lowest mean count were in September and May both recording 10
866 CFU/100mL while 44 CFU/100mL was recorded in March as the highest (Figure 4.3). The
867 highest mean count for *E. coli* was recorded in January (32 CFU/100mL) and March (29

868 CFU/100mL) and the lowest was recorded in May (5 CFU/100mL) and September (3
 869 CFU/100mL) respectively.



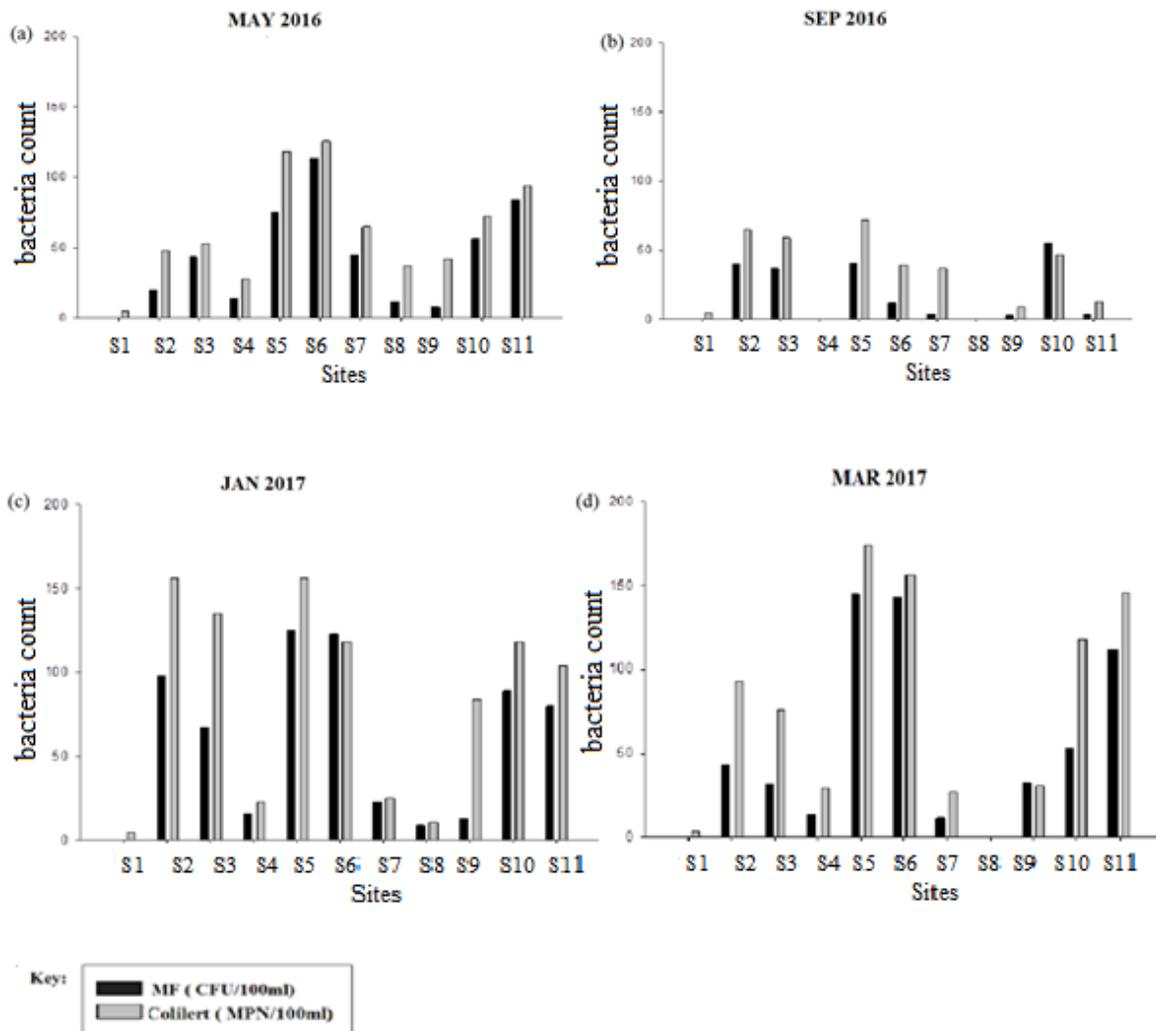
870
 871 Key:
 ■ E. coli
 ■ fecal streptococci
 ■ total coliform
 ■ heterotrophic bacteria

872 Figure 4.3: Graphical representation of bacterial colony counts in drinking water for different
 873 periods and different sampling sites.

874

875 **4.2.1 Comparison of membrane filtration and Colilert for detection of total coliform**
 876

877 The distributions of total coliforms in the respective sampling sites were evaluated using
 878 membrane filtration (MF) and Idexx Colilert method (Figure 4.4). The results range between 0
 879 and 170 CFU/100mL for membrane filtration and 0 to 200 MPN/100mL for Colilert (Figure 4.4).
 880 Statistical analysis by a two-factor ANOVA on total coliform counts by the type of test (Colilert
 881 and membrane filtration) shows no significant between the types ($p=0.453$). The variation within
 882 the sampling sites was statistically significant ($p = 0.017$).
 883



884
 885 Figure 4.4: Total coliform bacterial counts with separate bar for Colilert (MPN/100ml) and MF
 886 (CFU/100ml) detection methods.

887 **4.2.2 Comparison of membrane filtration and Colilert for detection of *E. coli***

888

889 *E. coli* counts ranged from 0 to 112 CFU/100mL for membrane filtration and 0 to 200

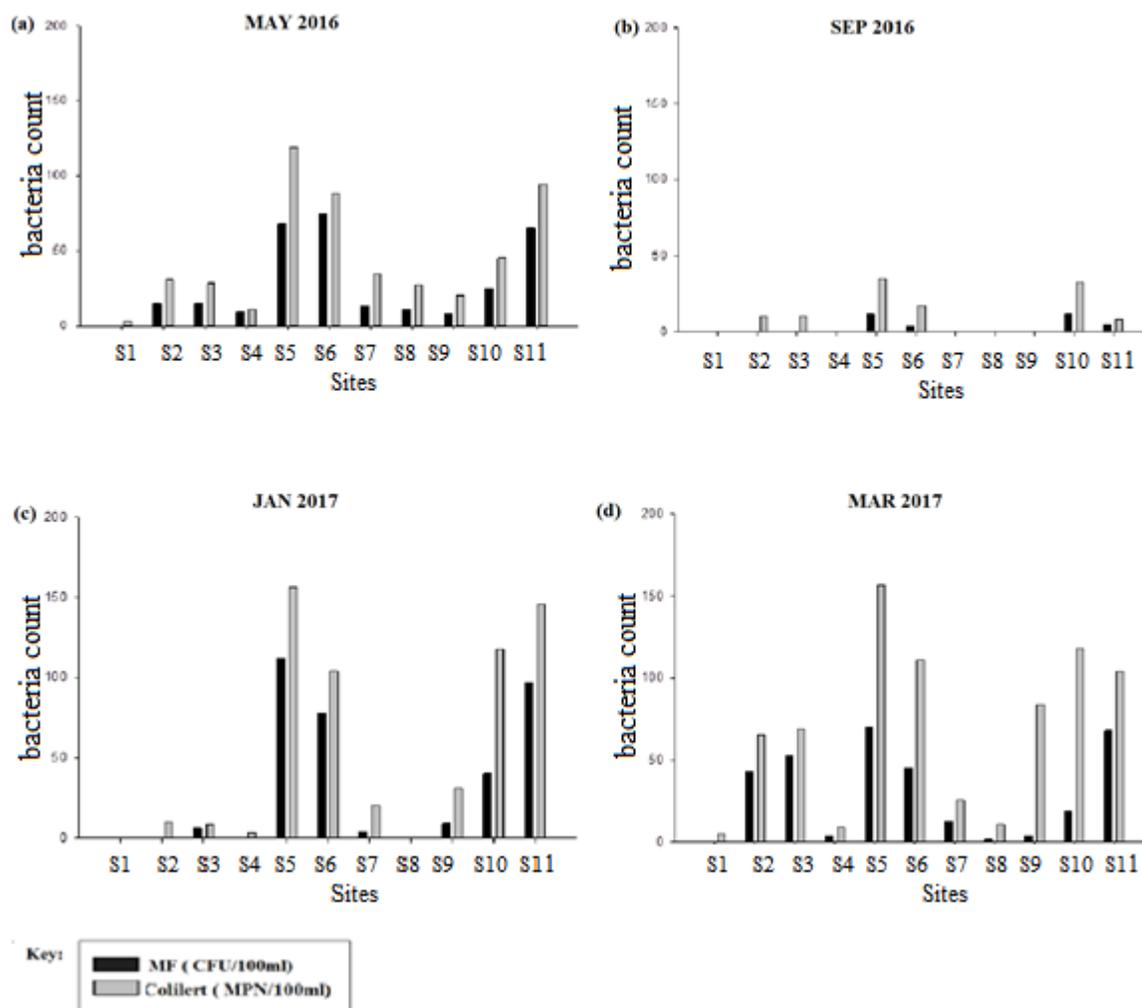
890 MPN/100mL for Colilert (Figure 4.5). Two-factor ANOVA was used to analyze *E. coli* counts to

891 assess the type of test used and the results show significant difference ($p=0.0242$). The highest

892 mean counts were recorded in March 2017 whilst the lowest was in September 2016. There was

893 statistical variation among sites ($p=0.0001$) having the highest mean recorded in site 5 (105) and

894 lowest in S1.



895

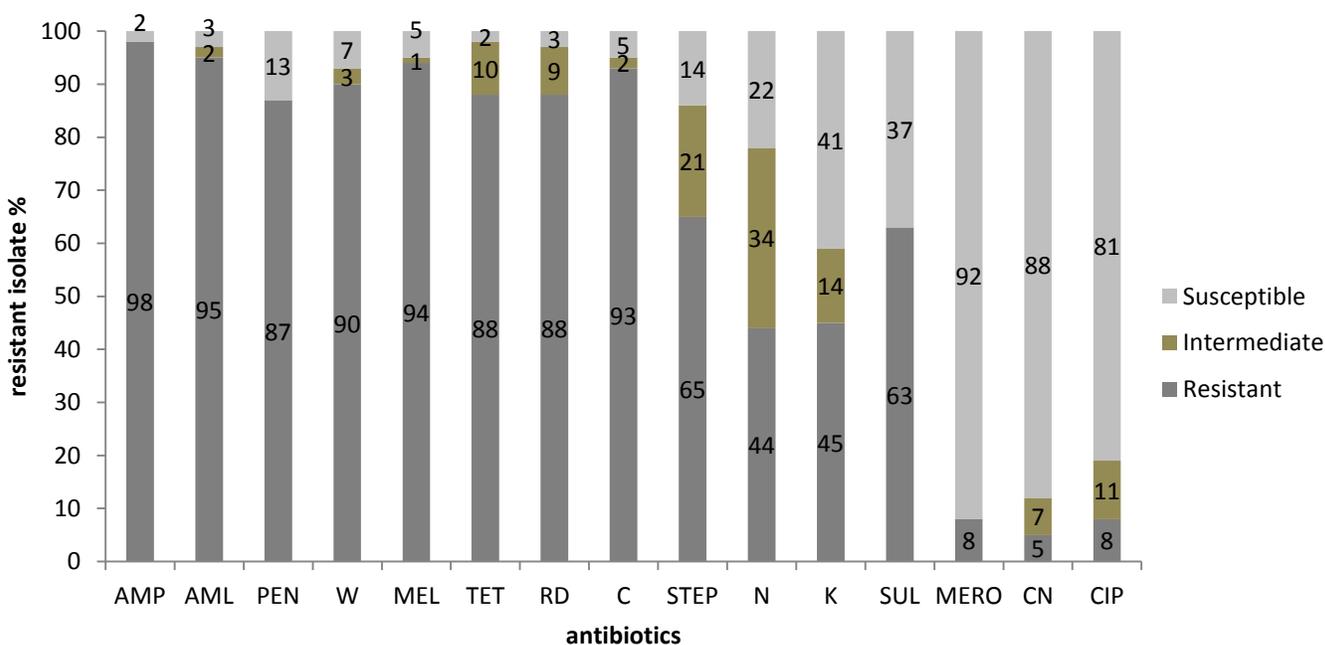
896 Figure 4.5: *E. coli* bacterial counts with separate bar for Colilert (MPN/100ml) and MF

897 (CFU/100ml) detection methods.

898 **4.3 Bacteria susceptibility to antibiotics**

899
 900 A total of 150 bacterial isolates were subjected to antibiotic susceptibility tests against 15
 901 different antibiotics listed in Table 3.2. The antibiotic resistance profiles of the isolates were
 902 recorded as resistant, intermediate resistant and susceptible. Figure 4.6 shows that high antibiotic
 903 resistance was observed for ampicillin (98 %), amoxicillin (95 %), methicillin (94 %),
 904 chloramphenicol (93 %), trimethoprim (90 %), penicillin (87 %), tetracycline and rifampicin (88
 905 %), streptomycin (65 %) and sulfamethoxazole (63 %) compared to kanamycin and neomycin
 906 with 45 % and 44 % respectively. Only 24 % of the isolates showed resistance to meropenem, 8
 907 % to ciprofloxacin and 5 % to gentamicin. Generally, isolates were more resistant to older
 908 generation antibiotics than new antibiotics.

909



910
 911 Figure 4.6: Antibiotic susceptibility of the isolates to different antibiotics.

912 Note: AMP ampicillin, AML amoxicillin, PEN penicillin, W trimethoprim, MEL mecillium, TET tetracycline, RD
 913 rifampicin, C chloramphenicol, STEP streptomycin, N neomycin, K kanamycin, SUL sulfamethoxazole, MERO
 914 meropenem, CN gentamicin, CIP ciprofloxacin.

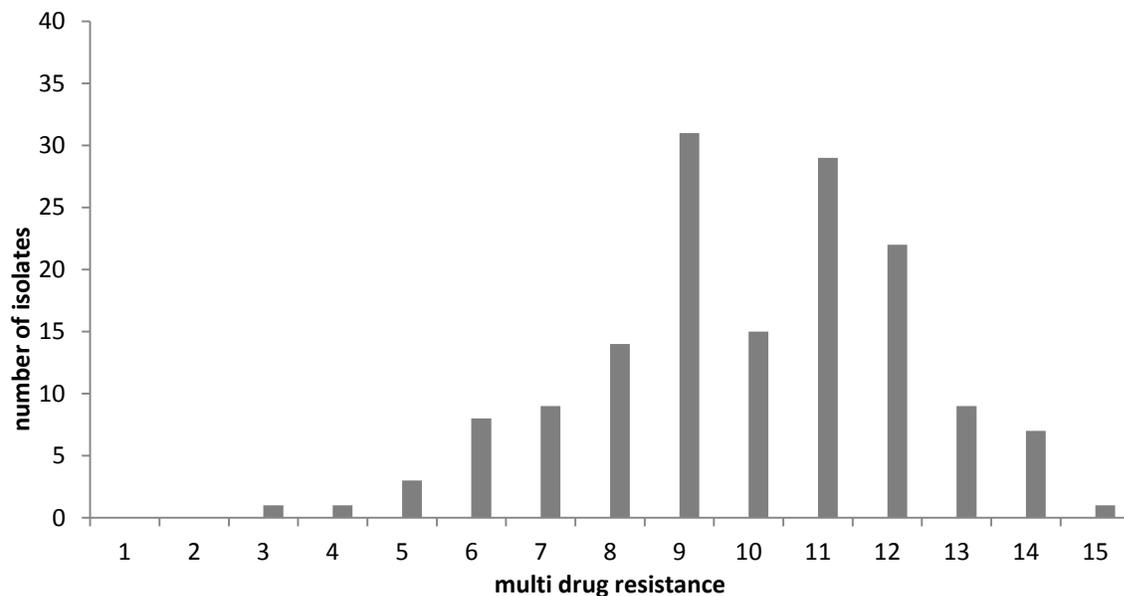
915

916

917 **4.3.1 Multiple drug resistance**

918

919 Multiple drug resistance patterns indicate that 31 isolates showed resistance of over 65 % to 9
920 antibiotic being AMP-AML-MEL-C-W-RD-TET-PEN-STREP as shown in figure 4.7. Twenty-
921 nine isolates were resistant to 11 antibiotics while 22 isolates were resistant to 12 antibiotics.
922 Moreover, 15 isolates were resistant to 10 antibiotics, while 14 isolates were resistant to 8
923 antibiotics, followed by 9 isolates being resistant to 7 and 13 antibiotics. The lowest number was
924 observed, with 8, 7, and 3 isolates being resistant to 6, 14 and 5 antibiotics respectively. Lastly
925 one isolate was resistant to 3, 4 and 15 antibiotics (Figure 4.7).



926

927 Figure 4.7: Multi-drug resistance of the isolated organisms.

928

929 **4.4 Molecular identification on the basis of 16S rDNA sequence analysis**

930

931 10 isolates were selected representing the different sampling sites for molecular identification
932 based on their degree of resistance to the above mentioned antibiotics per sampling site. The
933 isolates were selected looking at their antibiotic resistant pattern, those having the highest
934 percentage of resistance at each site (Table 4.1). The blast results were selected using a limit of
935 ≥ 90 % sequence similarity.

936 **Table 4.1: Selected isolates bacterial sequence with their accession numbers identified using**
 937 **16S rDNA sequence analysis.**

938

Isolate-site	Identified species	Accession NO.	Identity %
107-S1	<i>Brevundimonas naejangsanensis</i>	KX223755.1	96
168-S2	<i>Klebsiella pneumonia</i>	KU711803.1	99
178-S3	<i>Enterobacter cloacae</i>	MF537624.1	95
124-S4	<i>Pseudomonas sp</i>	KY780232.1	91
121-S5	<i>Escherichia coli</i>	KF991482.1	94
180-S6	<i>Enterobacter hormaechei</i>	KX233851.1	100
045-S7	<i>Bacillis theringensis</i>	KP137560.1	91
057-S9	<i>Serratia marcescens</i>	HQ834310.1	90
134-S10	<i>Bacillus cereus</i>	KF595063.1	91
198-S11	<i>Shigella sonnei</i>	KX082818.1	99

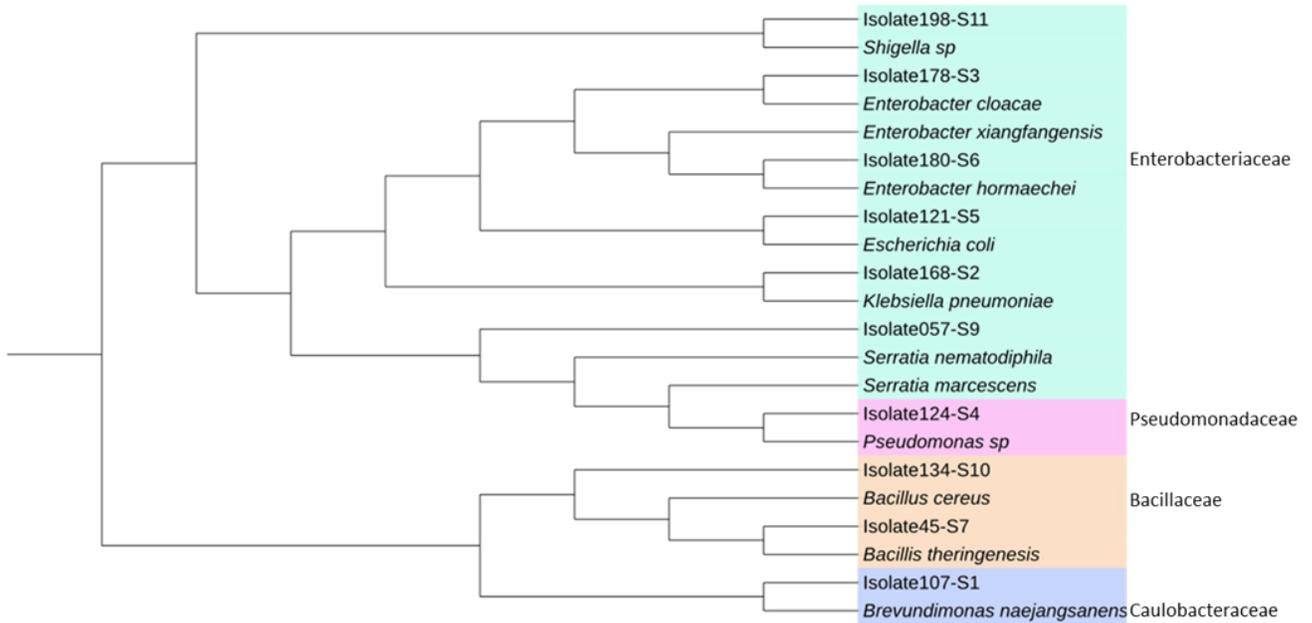
939

940 The isolates were denoted as isolate number (000) and sample site (S0).

941 **4.4.1 Phylogenetic tree analysis**

942

943 16S rDNA sequences of the 10 selected isolates were used to construct a phylogenetic tree. This
 944 was to show the relationship to the closest known relatives looking at their phylogenetic
 945 relationships. Most of the different isolates identified (6 isolates) belong to the same family of
 946 Proteobacteria and the class of Enterobacteriaceae (Figure 4.8). While *Brevundimonas*
 947 *naejangsanensis* belongs to Caulobacteraceae, *Bacillus* species belongs to a family of Bacillaceae
 948 and lastly *Pseudomonas sp* which belongs to a family of Pseudomonadaceae.



949

950 Figure 4.8: Phylogenetic relationship of 16S rDNA of the isolates. Phylogenetic trees were
 951 constructed from the neighbor-joining analysis model (Saitou and Nei, 1987), using MEGA
 952 version 7.0 software and iTOL (Letunic and Bork, 2016).

953

954 **4.4.2 Detection of antibiotic resistance genes**

955

956 The 10 identified isolates were further investigated for the presence of antibiotic resistance genes
 957 (Table 4.2; appendix 3). The *int1* gene had the highest prevalence as it was present in all the
 958 isolates, followed by *strepB* gene which was detected in 5 of the isolates. *tetB* was present in
 959 only 3 isolates and *sul1* in only 1 isolate (Table 4.2). All the 5 antibiotic resistance genes; *tetA*,
 960 *tetB*, *int1*, *strepB* and *sul1* genes were detected in isolate 121-S5, which was identified as *E. coli*.
 961 134-S10 (*B. cereus*) had 3 of the targeted genes (*tetB*, *int1*, *strepB*). Two (*int1* and *strepB*) out of
 962 the 5 targeted genes were detected in 168-S2 (*K. pneumonia*), S4 (*Pseudomonas* sp) and S9 (*S.*
 963 *marcescens*), while S1, S3, S7 and S11 had only 1 gene (*int1*) detected.

964

965

966 Table 4.2: Detection of antibiotic resistance genes of the identified isolates
 967

Gene	Isolates									
	107-S1	168-S2	178-S3	124-S4	121-S5	180-S6	045-S7	057-S9	134-S10	198-S11
<i>Int1</i>	+	+	+	+	+	+	+	+	+	+
<i>tetA</i>	-	-	-	-	+	-	-	-	-	-
<i>tetB</i>	-	-	-	-	+	-	-	-	+	-
<i>strepB</i>	-	+	-	+	+	-	-	+	+	-
<i>Sull</i>	-	-	-	-	+	-	-	-	-	-

968
 969 +; the presence of the targeted gene in isolate
 970 - ; the absence of the targeted gene in the isolate
 971

972 4.5 Metagenomics analysis

973
 974 Metagenomics analysis was performed directly on four samples collected at S1, S2, S5 and S10
 975 in March. Samples from March had recorded the highest bacterial count throughout the study
 976 and hence selected for metagenomics analysis. The results are presented at kingdom, order and
 977 species classification level.

978

979 4.5.1 Visualization at kingdom classification

980
 981 Visualization at kingdom level showed that S1 bacterial proportion was at 99 %, S2 at 92 %, S5
 982 at 74 % and S11 having 96 % (Figure 4.9). Besides bacteria, all the samples also contained DNA
 983 from kingdoms plantae, protozoa and archaea, and of interest is that as the water moved further
 984 away from the water treatment plant as other classes such as fungi and viruses which were not
 985 present at S1 were found (Figure 4.9). The results showed the presence of fungi and viruses in

986 S2, S5 and S11. Ten percent of the organisms in sample S5 were viruses, which were also
 987 observed in S11 at 3%. Traces of fungal DNA were observed in all the samples (Figure 4.9).

988



989

990 Figure 4.9: Krona chart visualization at kingdom level.

991

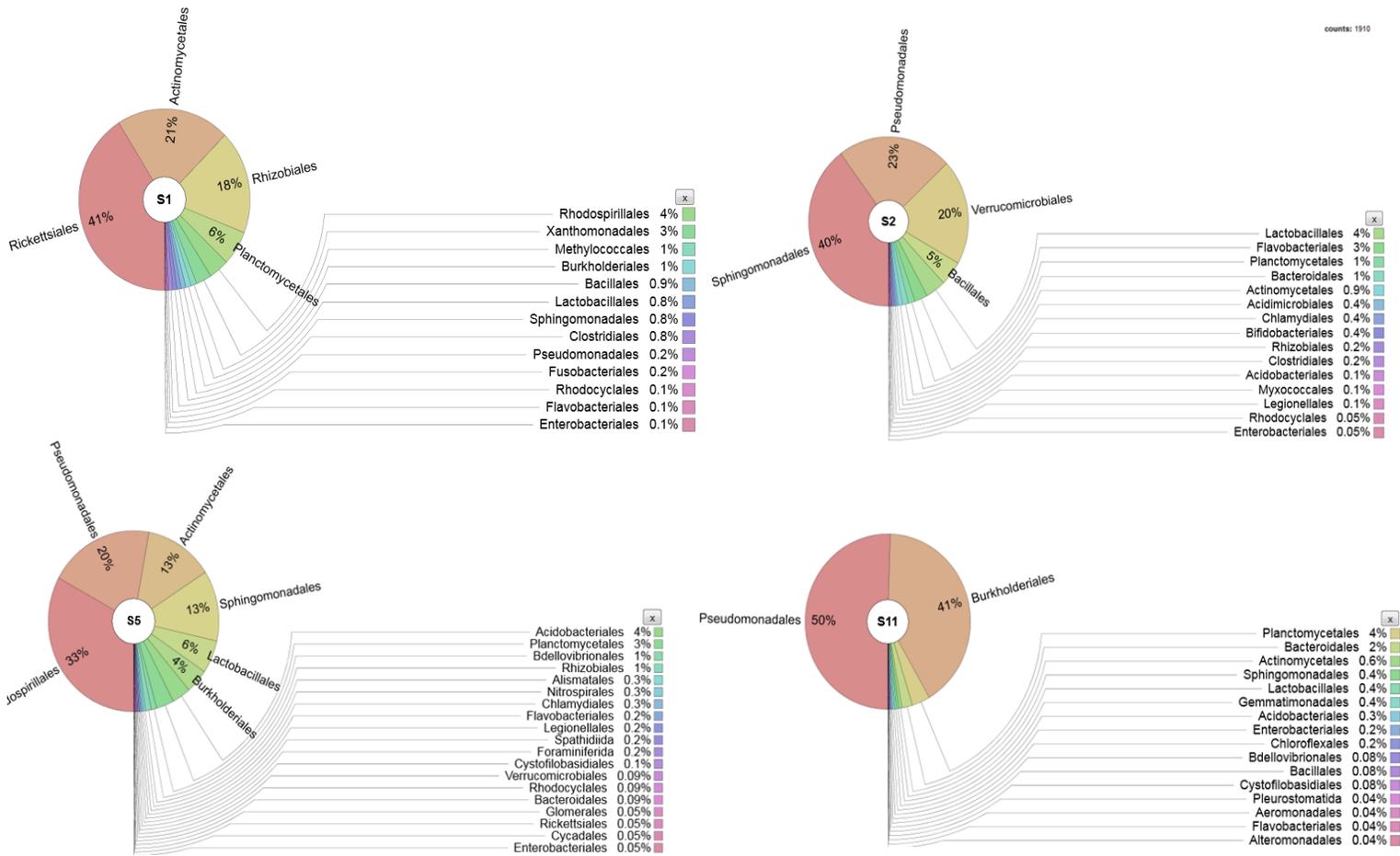
992 4.5.2 Visualization at order classification

993

994 From Figure 4.9 bacterial DNA was the most predominant in all the samples and hence were
 995 analysed at order level. Taxonomical composition revealed that DNA for the order
 996 Pseudomonadales (0.2% S1, 23% S2, 20% S5 and 50% S11), Actinomycetes (21% S1, 0.9% S2,
 997 13% S5 and 0.6% S11) and Sphingomonadales (0% S1, 40% S2, 13% S5 and 0.4% S11) were
 998 the most prevalent among the samples (Figure 4.10). The least bacterial diversity at the order
 999 level was Enterobacteriaceae having 0.1% S1, 0.05% S2, 0.05% S5 and 0.2% S11. Legionellales
 1000 was present in S2 (0.1%), S5 (0.2%) in the water samples but not detected in the S1 and S11
 1001 sample (Figure 4.10). Within these dominant orders, the bacterial families with the highest

1002 relative abundance across most samples were Streptomycetaceae, Comamonadaceae,
 1003 Bacillaceae, Vibrionaceae, Bacillales, Enterobacteriaceae.

1004



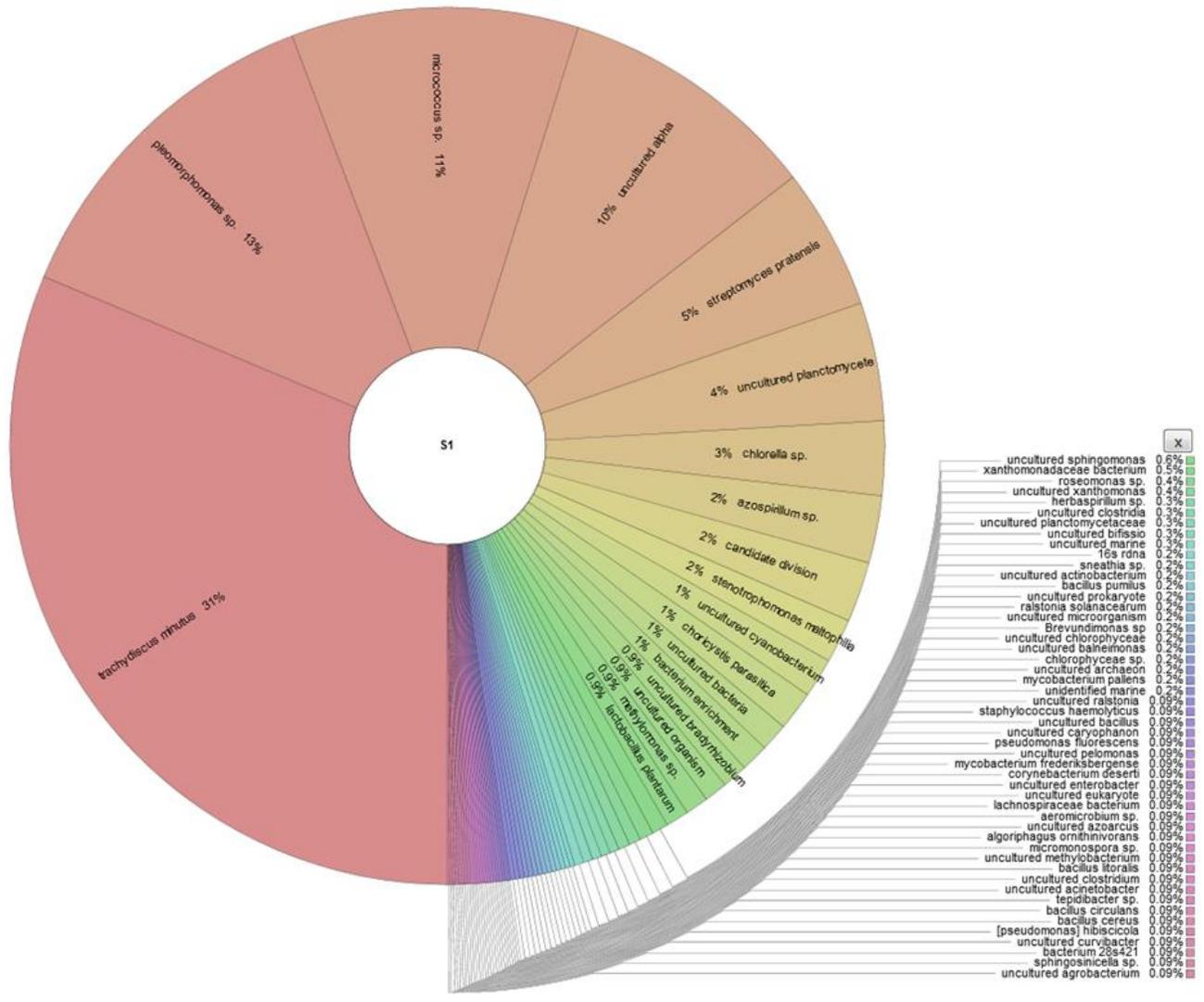
1016 Figure 4.10: Visualisation of the samples at order level

1017

1018 **4.5.2 Visualization at genus level**

1019

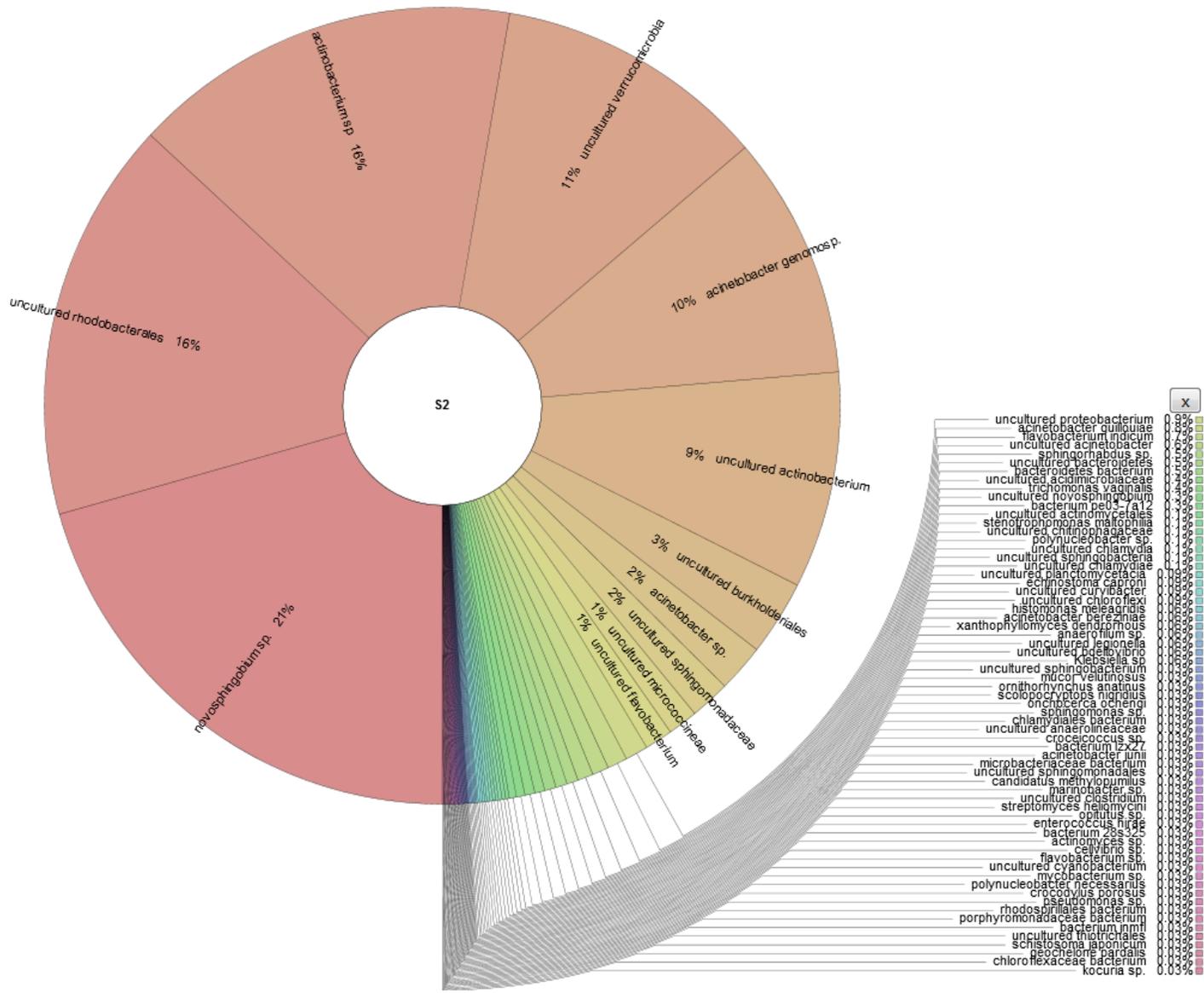
1020 The relative abundance and bacterial diversity at the genus level was identified using
 1021 metagenomics. The most prevalent bacteria genus identified across the sampling sites eDNA
 1022 (Figure 4.11 -4.14) were *Mycobacterium sp* (0.1% S1, 0.01% S2, 0.04% S5 and 0.03% S11) with
 1023 *M. tuberculosis* (0.04%) being found at S5 (Figure 4.13). Other bacterial genera such as *Bacillus*
 1024 (S1,S2,S5 and S11), *Clostridium sp* (S1,S5 and S11), *Enterobacter sp* (S2 and S5),
 1025 *Staphylococcus sp* (S1 and S5), *Acinetobacter sp* (S1,S2,S5 and S11), and *cholera sp* (S1,S5 and
 1026 S11) were detected (Figure 4.11- 4.14).



1027

1028 Figure 4.11: Visualisation of the sample obtain at S1 at genus level

1029



1030

1031 Figure 4.12: Visualisation of the sample obtain at S2 at genus level

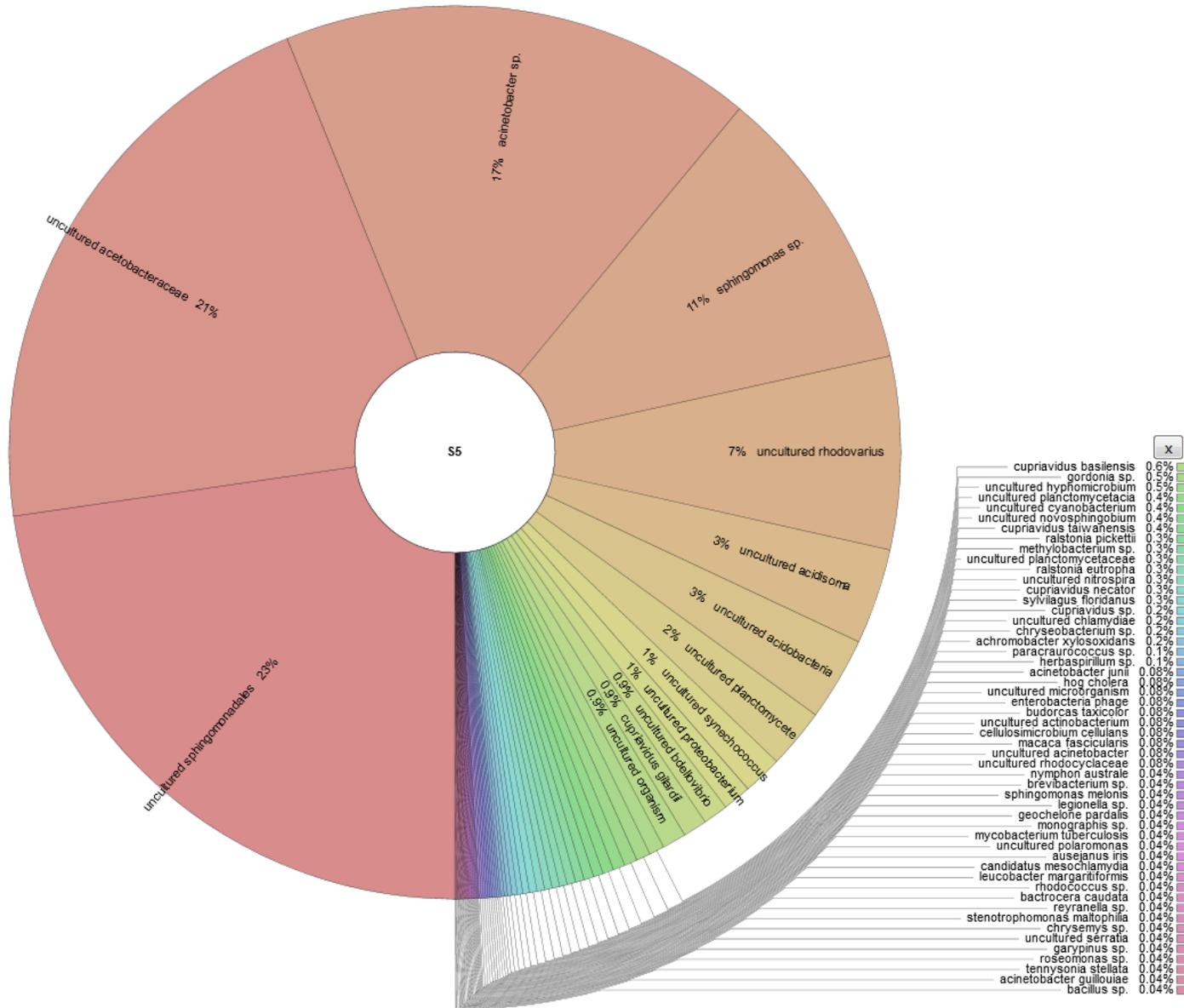
1032

1033

1034

1035

1036



1037

1038

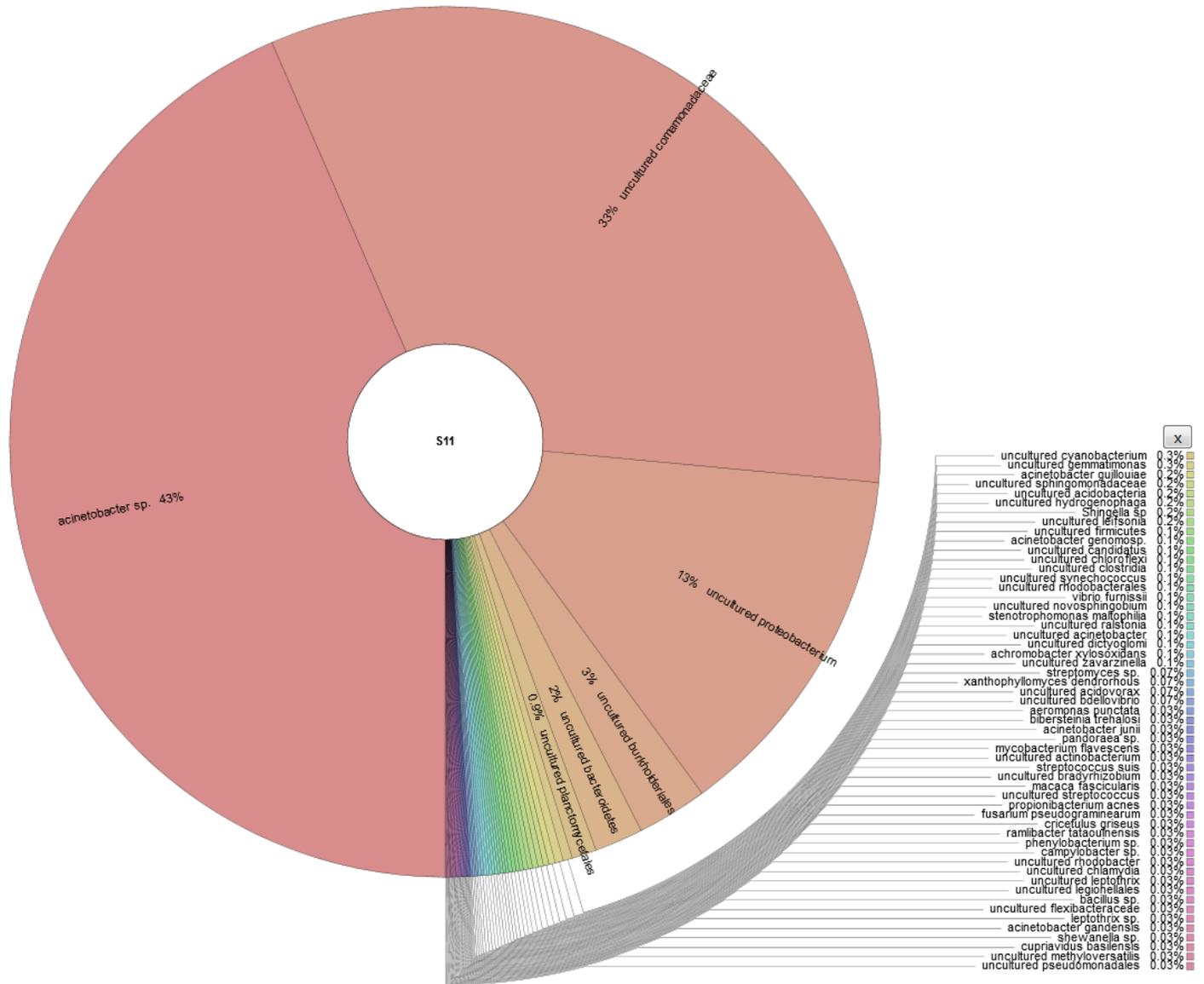
1039 Figure 4.13: Visualisation of the sample obtain at S5 at genus level

1040

1041

1042

1043



1044

1045

1046 Figure 4.14: Visualisation of the sample obtain at S11 at genus level

1047

1048

1049

1050

1051 **4.6 Detection of antibiotic resistance genes in metagenomics samples**

1052
 1053 eDNA samples were tested for 5 ARGs (*sul1*, *int1*, *tetA*, *tetB* and *strepB*) using PCR (appendix
 1054 4). Four sampling sites we selected to determine the trend of microbial contamination from the
 1055 source (S1) and the health care facilities with the highest daily number of patients and the highest
 1056 number of antibiotic resistance bacteria isolates. The results showed that *sul1*, and *int1* were
 1057 present in all the samples (Table 4.3). However, 3 of the targeted genes (*tetA*, *tetB* and *strepB*)
 1058 were not detectable in S1 but were found to be present in S2, S10, and S5.

1059 Table 4.3: Detection of antibiotic resistance genes from metagenomics (eDNA) samples

1060

Gene	eDNA sample			
	S1	S5	S2	S10
<i>Int1</i>	+	+	+	+
<i>tetA</i>	-	+	+	+
<i>tetB</i>	-	+	+	+
<i>strepB</i>	+	+	+	+
<i>Sul1</i>	+	+	+	+

1061
 1062 +; the presence of the targeted gene in sample

1063 - ; the absence of the targeted gene in the sample

5.0 DISCUSSION

5.1 Physicochemical quality of water

The physicochemical properties of water are directly related to the safety of the drinking water and are contributed by climatological, geological and hydrological factors. They affect mostly the bacteriological component of water (Bhandari *et al.*, 2008).

The physicochemical parameters tested were water turbidity and residual chlorine. Measurement of turbidity reflects the clarity in water and is mostly caused by substances present in the water including organic matter and microscopic organisms (Yao *et al.*, 2014). As such turbidity is used to indicate water quality and filtration effectiveness of the treatment process (Pollock *et al.*, 2014). The turbidity levels in most (64 %) of the sampling sites exceeded the maximum permissible concentration of 1 NTU set by BOS 32: 2009 standard (Figure 4.1). The S1 sample for March exceeded the allowable turbidity limit, measuring 1.32 NTU and even higher turbidity levels were observed at successive sites. This could indicate ineffective treatment in clarifying the water as well as treating microbial contamination. Turbidity level for S5 (6 NTU) and S10 (5.07 NTU) were higher than the WHO (2011) limit of 5 NTU, which is much higher than the BOBS limit. Since turbidity implies the presence of foreign materials in the water, this suggests that bacterial cells can attach themselves to the suspended particles in turbid water, which could then interfere with disinfection by protecting microbial contaminants from the disinfectant (Lantagne *et al.*, 2008; Kotlarz *et al.*, 2009). Therefore, highly turbid water at the treatment works leads to increased chlorine demand and hence an increase in the cost of water treatment (LeChevallier *et al.*, 1981).

Another parameter tested was residual chlorine. Chlorine is a chemical that is used as a disinfectant in drinking water prior to its discharge into the distribution system. Chlorination ensures that the water quality is maintained from source to the point of consumption. Consequently, a detectable amount of residual chlorine should remain in the water in order to minimize pathogens and biofilm formation, thus preventing recontamination throughout distribution (Clark and Coyle, 1990). BOS 32: 2009 standard states that residual chlorine level in drinking water should be between 1-0.6 mg/L to meet the recommendation for human

1095 consumption. In September, (54.5 %) and in May and January, (91.9 %) of the samples were not
1096 within the BOS 32: 2009 recommended limit. In March 100 % of the samples were below the
1097 limit of 0.6 mg/L (Figure 4.2). The absence or low level of residual chlorine in the distribution
1098 system may lead to the possibility of post treatment contamination (Ercumen *et al.*, 2014). This
1099 suggests that additional chlorine is needed at the treatment plant (S1) to ensure that residual
1100 chlorine reaches the end of the pipe lines for maintaining the quality of drinking water prior to
1101 distribution. Generally, chlorine decreases in concentration with distance from source (S1), until
1102 it reaches levels as very low as 0.01 mg/L observed in S10 in March. Furthermore, the challenge
1103 with chlorine levels in drinking water is that residual chlorine should not exceed 1mg/L since
1104 high levels can affect human health. Additionally, recommended chlorine concentration should
1105 not be exceeded to control any by product formation associated with taste and odour. High
1106 chlorine doses potentially increase haloacetic acid leading to increased risk of getting cancer
1107 (Nieuwenhuijsen *et al.*, 2000; Pressman *et al.*, 2010; Doñaque *et al.*, 2015; Xie, 2016).

1108

1109 **5.2 Bacteriological indicators**

1110

1111 The analysis of microbiological quality of water ensures that consumers are protected from
1112 pathogenic bacteria and this is done through the detection of indicator microorganisms.
1113 Heterotrophic bacteria, total coliform, *F. streptococci* and *E. coli* are indicator microorganisms
1114 that are generally recommended for assessment of the microbiological safety and the potential
1115 occurrence of pathogens in potable water (Yáñez *et al.*, 2006; Okeke *et al.*, 2011). BOS 32:2009
1116 and Rouse (2016) guidelines recommend the complete absence of microbial indicators in any
1117 100 mL of drinking water. WHO (2014) characterize detection of 1-10 CFU as low risk, 10-100
1118 CFU intermediate risk, 100-1000 CFU as high risk and over 1000 as very high risk human
1119 health. The counts for the indicator microorganisms ranged between the low and high risk
1120 categories in this study. Generally, samples from the treatment plant were categorized as low risk
1121 for all the samples, whereas as the water moved further away from the treatment plant, counts
1122 classified as high risk category were observed. The observed trend could be explained as the
1123 result of post contamination as the water moved along the distribution network. Higher bacterial
1124 counts were observed in March (2394 CFU/100mL) with detection of indicator microorganisms
1125 from the treatment plant (S1) to successive sites and these could correlate with the low residual

1126 chlorine levels that were observed during the same month. Chen and Stewart, (1996) observed
1127 that free chlorine is gradually consumed before it can act on bacterial components. Heterotrophic
1128 microorganisms are derived from diverse pollutant sources and are typically described as
1129 opportunistic pathogens (Hambusch and Werner, 1993; APHA, 1999). In March S1 recorded 4
1130 CFU/100mL of heterotrophic bacteria. The presence of these bacteria at the treatment plant may
1131 suggest that treatment may have been ineffective in killing microorganisms compared to the
1132 other months where there was zero detection at the plant (Figure 4.3). In May, September and
1133 January, there was no detection of heterotrophic bacteria in S1, however in subsequent sampling
1134 sites along the distribution lines heterotrophic bacteria were detected in the samples. In May, Jan
1135 and March increased counts were recorded in S6, S5 and S11 recording 459, 426 and 377
1136 CFU/100mL respectively, which could mean post contamination of the treated water. Ainsworth
1137 (2004) also reported that an increase in heterotrophic bacteria could be attributed to several
1138 factors such as an ineffective treatment, installations and repairs, presence biofilms or microbial
1139 growth in the distribution system. According to Prévost (1998) identification of heterotrophic
1140 bacteria is important in the assessment of drinking water quality in distribution networks and
1141 storage tanks as their presence can be associated with an increased risk of gastroenteritis. Studies
1142 have shown that a high density of heterotrophic bacteria found in treated drinking water is of
1143 great concerns due to the fact that a wide range of water associated opportunistic pathogens that
1144 are of human health concern are represented by this group of bacteria (Lye and Dufour, 1991;
1145 Chowdhury, 2012).

1146
1147 Total coliform counts were present in all the sampling sites except for treatment plant (S1) for all
1148 the sampling periods. The absence of total coliform could be a good indication of effective
1149 treatment. However, safe drinking water from the treatment plant does not guarantee safe water
1150 at the consumer point, since the water is subjected to possible contamination during transmission
1151 through pipes. This was observed in Figure 4.3 that total coliforms were detected along the
1152 transmission lines. The highest mean counts were recorded at S11 (277), S2 (186), S6 (360), and
1153 S5 (362) CFU/100mL in May, September, January and March sampling periods respectively.
1154 Total coliforms counts were very high in wet seasons March (362 CFU/100mL) and January
1155 (360 CFU/100mL) and compared to dry seasons May (277 CFU/100mL) and September (186
1156 CFU/100mL). This could be explained by the fact that in wet season runoffs from rainfall

1157 introduces contaminants into the water, the observation that was reported in Okavango delta in
1158 Botswana (Masamba and Mazvimavi, 2008). The presence of total coliforms can be seen as an
1159 indication of deteriorating water quality and ineffective water disinfection (APHA, 1999;
1160 Rompré *et al.*, 2002; WHO, 2006). Moreover, presence of total coliforms in drinking water
1161 indicates that disease causing organisms could be in the water system (Emmanuel *et al.*, 2009).

1162
1163 Furthermore, the results showed positive for presence of *F. streptococci* after treatment in most
1164 of the sampling sites, posing concern about the potential incidences of enteric pathogens that
1165 could cause illness to exposed individuals (Dufour, 1984; Ashbolt *et al.*, 2001; Abdelzaher *et*
1166 *al.*, 2010; Cabral *et al.*, 2010). *F. streptococci* was not detected at the treatment plant (S1) in all
1167 the months sampled, however after the treatment process, down the distribution network high
1168 counts were detected especially in March and January. Of concern was that S5 in January
1169 recorded the highest number of *F. streptococci* (155 CFU/100mL), which is categorized as high
1170 risk (WHO, 2014) and could cause diseases if consumed, declaring the water unsafe to drink.
1171 The March samples showed that after treatment all the successive sites were positive for *F.*
1172 *streptococci* (Figure 4.3). These high numbers may be attributed to prolonged water stagnation in
1173 holding tanks, disinfectant residuals dissipating after some time in the distribution pipes (Berry *et*
1174 *al.*, 2006).

1175
1176 Several studies have suggested *E. coli* to be the best indicator for the assessment of faecal
1177 contamination and indicator for possible presence of enteric pathogens (Clark *et al.*, 1990;
1178 Edberg *et al.*, 2000; Geissler *et al.*, 2000; US EPA, 2001). The results show generally low counts
1179 for *E. coli* recorded compared to other indicator organisms targeted. In September only 36.4 % of
1180 the sampling sites were positive for *E. coli* as compared to total coliform and *F. streptococci*
1181 where 72.7 % of the sites were positive and heterotrophic bacteria where 90.2 % of the sites were
1182 positive. January, May and March had positive counts for *E. coli* except at the treatment plant.
1183 Studies show that even after effective treatment, high microbial counts can be detected in
1184 drinking water (Chidya *et al.*, 2011; Schraft and Watterworth, 2005). S5 recorded the highest
1185 bacterial counts in all the sites, which could be attributed to the pipes in the area been slogged
1186 with biofilms as the clinic tank is one of the oldest holding tank for the area.

1187 Drinking water contaminated with these bacteria can cause gastroenteritis characterized by
1188 diarrhoea, vomiting and nausea (Schraft and Watterworth, 2005; Tubatsi *et al.*, 2015). The
1189 effects may possibly be life threatening for children under the age of 5, the elderly or people with
1190 immune deficiencies (EPA, 2004; Swatuk and Kgomotso 2007; Kujinga *et al.*, 2014).

1191

1192 ***5.2.1 Comparison of membrane filtration and Colilert for detection of total coliform and E.*** 1193 ***coli***

1194

1195 The choice of the correct method for enumeration of indicator microorganisms is very important
1196 when aiming to achieve the accurate conclusions. The most common method used for total
1197 coliform and *E. coli* detection in drinking water is membrane filtration technique. There are also
1198 some enzymatic assays used to enumerate total coliform and *E. coli* (Rompré *et al.*, 2002; Chao,
1199 2006) eventhough they are not commonly used for routine monitoring. MF and Colilert methods
1200 were compared in the detection of total coliform and *E. coli* indicator microorganisms.

1201

1202 The results (Figures 4.4 and 4.5) indicate that when all parameters of collection, preservation,
1203 and testing are constant, Colilert exhibited higher mean counts of bacterial coliforms detected
1204 than MF. The average counts for Colilert and MF are 532 and 499 for total coliforms and 464
1205 and 251 for *E. coli*, respectively. The statistical analysis (two-way ANOVA) showed that there
1206 was no significant difference between the two methods for testing total coliforms. The results are
1207 further supported by Rompré *et al.*, (2002) and Chao, (2006) who also did not find any statistical
1208 difference between the two methods. Even though the statistics by a two-way ANOVA shows no
1209 difference, Colilert has been reported to perform better than MF (Niemela *et al.*, 2003; Eccles *et*
1210 *al.*, 2004). The enzymatic method has been developed to curb the limitations of decrease in
1211 coliform recovery and the inability to recover stressed and injured coliforms by the MF approach
1212 and it offers sensitivity, rapidity and specificity to substrates (George *et al.*, 2000; Murphy *et al.*,
1213 2017). The results for the Colilert method showed that almost all the samples representing the
1214 four sampling periods recorded high counts compared to MF. Total coliforms were detected at
1215 S1 during May, January and March while there were no coliform detections when using the
1216 membrane filtration for the same periods. This implies that Colilert assay is much more sensitive
1217 than MF. The Colilert enzymatic assay is based on the hydrolysis of chromogenic substrates by

1218 β -galactosidase and β -glucuronidase activity, which are enzymes found in total coliforms and *E.*
1219 *coli* respectively (Brenner *et al.*, 1993; Berger, 1994; George *et al.*, 2000; Rompre *et al.*, 2002;
1220 Bitton, 2005).

1221
1222 When the two methods were compared for enumeration of *E. coli*, the statistical analysis showed
1223 a statistical difference as $p < 0.05$ and higher counts were observed for the Colilert test (Figure
1224 5.5). In addition, the Colilert test can recover stressed cells (McFeters *et al.*, 1993; Niemela *et*
1225 *al.*, 2003; Jiang *et al.*, 2002; Eccles *et al.*, 2004). Colilert sensitivity was also reported by
1226 Cowburn *et al.*, (1994); Fricker *et al.*, (1997); Niemela *et al.*, (2003) were testing potable water,
1227 as more counts were detected over other traditional methods. For both total coliform and *E. coli*,
1228 the trend between the two methods is similar showing high counts at S5, S6, S9, and S10 for all
1229 the sampling periods, with September recording the lowest counts. September is recorded as the
1230 dry season in the area hence no runoffs from the riparian zones (Masamba and Mazvimavi,
1231 2008). Notably S4, which immediately follows S1, also recorded the low counts for all sampling
1232 periods in both methods (Figures 4.4 and 4.5).

1233

1234 **5.3 Antibiotic resistant bacteria**

1235

1236 Antibiotic resistant is a trait acquired by previously antibiotic susceptible bacteria via horizontal
1237 gene transfer or by occurrence of mutations within chromosomal genes (Rysz and Alvarez 2006;
1238 Pruden *et al.*, 2006; Zhang *et al.*, 2009). Over 90 % of the 150 isolates investigated were resistant
1239 mostly to ampicillin followed by amoxicillin, chloramphenicol, mecillinam and trimethoprim
1240 (Figure 4.6). Currently, it has been recognized that many bacteria species have developed
1241 resistance to different classes of antibiotics resistance trend towards, macrolide, phenicols and β -
1242 lactam (Obi *et al.*, 2004; Messi *et al.*, 2005; Cox and Wright, 2013). The families of
1243 Enterobacteriaceae are especially resistant to ampicillin, chloramphenicol, amoxicillin,
1244 aminoglycosides and tetracycline (Leclercq *et al.*, 2013).

1245

1246 Multiple antibiotic resistances were observed in all the isolates targeted, with some strains
1247 showing resistance to nine different antibiotics (Figure 4.7). The predominant antibiotic resistant
1248 pattern that was obtained for the isolates from different sites was AMP-AML-MEL-C-W-RD-

1249 TET-PEN-STREP (Figure 4.7). From the results, it is evident that gentamycin, ciprofloxacin and
1250 meropenem were the most effective antibiotics as large proportion of the isolates were
1251 susceptible to all of them. Unexpectedly, some isolates were resistant to meropenem, an
1252 antibiotic that belongs to the carbapenem; new generation antimicrobial agents and are only used
1253 as a fallback for serious or complex hospital infections (Babic *et al.*, 2006). Moreover, the results
1254 indicate that since most of the isolates targeted were isolated from sites after the treatment plant,
1255 the distribution systems may act as reservoir for antibiotic resistant organisms. Prevalence and
1256 antibiotic resistance patterns of various microbial species isolated from distribution system have
1257 been reported in other studies (Koksal *et al.*, 2009; Ram *et al.*, 2005). Forsberg *et al.*, (2012)
1258 have found that there was an exchange of genetic information encoding antibiotic resistance
1259 genes between environmental and pathogenic bacteria. The antibiotic resistant phenomenon
1260 comes as a health concern predominantly to infants, immunocompromised and old individuals in
1261 the community.

1262

1263 **5.4 Molecular identification of isolates**

1264

1265 Ten bacterial isolates were selected and successfully identified through 16S rDNA gene
1266 sequencing. Among the identified species were some *Enterobacter* species, *Bacillus* species,
1267 *Pseudomonas* sp. *Klebsiella pneumonia*, *Brevundimonas naejangsanesis*, *Escherichia. coli*,
1268 *Serratia marcescens*, as well as *Shigella sonnei* (Table 4.1). Six of the 10 isolates belonged to the
1269 family of Enterobacteriaceae while *Brevundimonas naejangsanesis* belongs to Caulobacteraceae,
1270 *Bacillus* species to Bacillaceae and lastly *Pseudomonas* sp. to Pseudomonadaceae. The
1271 prevalence of this species could have been influenced mainly by the media used, which targeted
1272 certain bacterial species. The occurrence of this species in drinking water is of concern since they
1273 are a major cause of morbidity and mortality as most are waterborne opportunistic pathogen
1274 associated with causing diarrhoea and fatal dysentery (Kotloff *et al.*, 2013). Sequence 198-S11
1275 was closely related to *Shigella* species, a common cause of bacterial diarrhoea worldwide and
1276 can survive in the human body as the bacteria is less susceptible to acid than other bacteria
1277 (Figure 4.8). Symptoms of shigellosis include abdominal pain, watery diarrhoea, abdominal
1278 tenderness, fever, vomiting and dehydration and later progresses to dysentery (Thompson *et al.*,

1279 2005; Niyogi, 2005; Emch *et al.*, 2008). Shigellosis involves bacterial invasion of the colonic
1280 epithelium and inflammatory colitis which is associated with malabsorption, and results in
1281 characteristic sign of diarrhoea. It is noted that water supply networks are important route of
1282 transmission. Incidences of shigellosis are highest among children less than five years and
1283 increase on the immune compromised people (Niyogi, 2005; Von Seidlein *et al.*, 2006). Studies
1284 have shown that despite gradual improvements in water supply, shigellosis continues to be
1285 endemic among young children and the elderly. *Shigella* species can also exist in environmental
1286 waters in a viable but non-culturable state such as vibrios (Hale, 1991; Faruque *et al.*, 2002;
1287 Todar, 2009).

1288
1289 Other species that belong to Enterobacteriaceae family were *E. coli*, *K. pneumonia*, *S.*
1290 *marcescens* and two *Enterobacter* species. *E. coli* is mostly natural and essential part of the
1291 bacterial flora in the gut of humans and most strains are nonpathogenic and reside harmlessly in
1292 the colon. However, certain serotypes do play a role in diseases such as urinary tract infections
1293 and diarrhoea (Herzog *et al.*, 2014). *K. pneumonia* is a pathogen that causes severe diseases such
1294 as pneumonia, urinary tract infections, and associated with hospital acquired infections
1295 (Podschun and Ullman, 1998). Isolate 168-S2, was related to *K. pneumoniae* (Figure 4.8), is the
1296 second most common Gram-negative bacteria after *E. coli* that causes disease outbreaks in adult
1297 population (Zhou *et al.*, 2015). Old age, nosocomial infections, intensive care interventions are
1298 reported to present attractive host factor to *K. pneumoniae* contributing to the increased
1299 mortality rates of the disease (Meatherall *et al.*, 2009; Hasan *et al.*, 2010). Strains of *K.*
1300 *pneumoniae* are mostly opportunistic pathogens and are ubiquitous as some have been
1301 discovered from aquatic environments receiving wastewaters (Nordmann *et al.*, 2009; Percival *et*
1302 *al.*, 2013).

1303
1304 *Enterobacter cloacae* and *Enterobacter aerogenes* are the most frequently isolated species,
1305 causing infections in hospitalized and immunocompromised patients (Cabral, 2010; Chen *et al.*,
1306 2014). Environmental waters are a natural habitat for *Enterobacter* species and their presence is
1307 considered the result of faecal pollution. *Enterobacter* species and their increasingly encounter in
1308 drinking water are now found as pathogens causing nosocomial infections and gastroenteritis
1309 causing outbreaks which are often found to occur among intensive care unit patients (Jarvis *et*

1310 *al.*, 1984). Lastly in the Enterobacteriaceae family was *S. marcescens*, which is now recognized
1311 as an important opportunistic pathogen associated with healthcare infection and antimicrobial
1312 resistance (Coque *et al.*, 1995; Mahlen, 2011). *S. marcescens* is the most common cause of
1313 pneumonia and with an increased incidence (Jones, 2010). The organism gains access to a
1314 suitably compromised host and at most risk those with immuno-compromising disorders and it's
1315 shown to be closely related to isolate 057-S9 (Figure 4.8). *S. marcescens* is implicated in a wide
1316 range of serious infections which includes pneumonia, meningitis and urinary tract infection
1317 (Naas *et al.*, 1995; Jones, 2010; Kawecki *et al.*, 2011; Merkier *et al.*, 2013).

1318
1319 Another isolate 124-S4 was closely related to *Pseudomonas* sp. (Figure 4.8), an emerging
1320 waterborne pathogen (Bert *et al.*, 1998). *Pseudomonas* sp., an opportunistic pathogen often
1321 associated with infections of the urinary tract, respiratory system and gastrointestinal infections
1322 particularly in patients with immunocompromised systems (Pirnay *et al.*, 2005). *Pseudomonas*
1323 species have been implicated in a number of waterborne outbreaks which may have impacts on
1324 human health and if present in high levels in water may cause taste, odour and turbidity problems
1325 (Trautmann *et al.*, 2005; Da Silva *et al.*, 2008; Waszczuk *et al.*, 2010; Fricks-Lima *et al.*, 2011;
1326 Wang *et al.*, 2012). The emergence of this waterborne pathogen is particularly worrisome to
1327 public health sector as environmental forms of the bacteria may serve as a store of genetic
1328 material, if transferred to other bacterial strains, may transfer new virulence capabilities.
1329 Moreover, the prevalence of multi-drug resistance is usually high in *Pseudomonas* species
1330 (Sharma *et al.*, 2003).

1331
1332 Anaerobic *Bacillus* species was identified from the drinking water closely related to isolate 134-
1333 S10 and 045-S7 (Figure 4.8). The species were also identified from the drinking water by other
1334 studies (Penna *et al.*, 2002; Pindi *et al.*, 2013; Kansole *et al.*, 2016). *Bacillus* species are mostly
1335 known to cause gastrointestinal infections in humans and in increased radiation resistance. The
1336 organism is ubiquitous and if ingested in small numbers can cause harm mostly to the
1337 immunocompromised (Jensen *et al.*, 2003; Ozkocaman *et al.*, 2006; Ribeiro *et al.*, 2009). Lastly
1338 isolate 107-S1, closely related to *Brevundimonas naejangsanensis* (Figure 4.8), and the species is
1339 rarely associated with human infections and its clinical significance remains undetermined
1340 (Scotta *et al.*, 2011).

1341 **5.4.1 Molecular determination of antibiotic resistance genes**

1342

1343 In order to confirm antibiotic resistance in selected isolates, the presence of antibiotic resistance
1344 genes that could have been mediating resistance ability of the isolates were determined, and the
1345 results are presented in Table 5.2. In this study, *int1*, *strepB*, *tetA*, *tetB* and *sul1* were targeted.
1346 These genes are known to occur in the aquatic environment (Pruden *et al.*, 2006; Araújo *et al.*,
1347 2017). Class 1 integron (*int1*) was present in all the samples followed by *strepB* where it was
1348 detected in only 5 isolates and their frequencies than other ARGs in the bacteria. Integrons
1349 contain collections of genes that impart the recombination function by having the ability to
1350 enhance stability in bacteria DNAs particularly for drug resistance (Mazel, 2006; Alekshun and
1351 Levy, 2007). The mobility of ARGs usually depends on integrons. *TetA*, was only positive in
1352 isolate 121-S5 and this could be due to resistance of *tetA* which is widely disseminated in *E. coli*
1353 organism (Thea, *et al.*, 2006). *TetB* was only detected in 2 isolates (121-S5 and 134-S10). It was
1354 observed only in *E. coli* (isolate 121-S5). *Sul1* was also observed in *E. coli* by Kozak *et al.*,
1355 (2009).

1356

1357 **5.5 Metagenomics**

1358

1359 The methods that are used in water quality monitoring mostly involve the use of culture-based
1360 approaches utilizing selective media. These approaches have a huge limitation since only
1361 indicator microorganisms are targeted, hence the evaluation and complementation of these
1362 methods with metagenomics. Different other microorganisms, which are not related to total
1363 coliforms or *E. coli* were, detected (Figures 4.9- 4.14). Archaeal and protozoan reads were
1364 detected in all the sampling sites with most of the reads related to the bacteria domain. In
1365 addition, fungal and viral reads were not detected at S1 but detected in all other sites that
1366 succeeded S1 (Figure 4.9). While identification of isolates from MF and Colilert was mostly
1367 limited to a few orders especially the Enterobacteriaceae, metagenomics analysis shows that
1368 other orders such as Pseudomonadales, Bacillales and Lactobacillales (Figures 4.10) were also
1369 present in the water samples.

1370

1371 Metagenomic results of the 4 water samples performed thus show that the method complements
1372 the more traditional methods and shows the intrinsic complexity of the water. The taxonomic
1373 analysis revealed an increase in the microbial community structure as several dominant orders
1374 were detected after the treatment plant with Pseudomonadales having the largest increase (Figure
1375 4.10). This trend was also observed by Miao *et al.*, (2015).

1376
1377 The emerging pathogenic bacteria of concern that were found in the study included *Legionella*
1378 sp., *Cholera* sp., *Mycobacterium tuberculosis*, *Staphylococcus* sp., and *Pseudomonas* sp. (figure
1379 4.11-4.14). In S1 *Stenotrophomonas maltophilia*; a pathogenic bacterium that causes human
1380 infections that are difficult to treat (Looney *et al.*, 2009; Brooke, 2012) was present. Moreover,
1381 in S5 (figure 4.13) one of the species found was the *M. tuberculosis* an obligate pathogenic
1382 bacterium that causes tuberculosis while at S11 (figure 4.14) *Chryseobacterium indologenes* was
1383 recorded in the water sample. *Chryseobacterium indologenes* causes infections such as
1384 bacteremia, pneumonia and meningitis (Lin *et al.*, 2010; Sudharani *et al.*, 2011) and is found to
1385 be resistant to most antimicrobial agents commonly used to treat Gram negative bacteria (Hsueh
1386 *et al.*, 2005; Bishara *et al.*, 2006). *Pseudomonas* sp. is one of the organisms known to cause
1387 meningitis in children under the age of 5 and its presence at S2 (paediatric ward) is of great
1388 concern. The results further show detection of Legionellales, regarded as the most opportunistic
1389 bacterial pathogens linked to presence of biofilms in the distribution systems (Wingender and
1390 Flemming, 2011). S1 (figure 4.11) was free from *Legionella* species and *Mycobacteria* species
1391 but there were detected in subsequent sampling points. This could be an indication of biofilms
1392 formation in the pipes, where normally the water will reach when the disinfectant has dissipated.

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1394 **5.5.1 eDNA characterization of antibiotic resistance genes**

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1396 Comprehensive characterization of ARGs in nature using culture dependent methods remains a
1397 challenge since most of environmental bacteria have entered the non-culturable state. The use of
1398 metagenome DNA extraction to get comprehensive species composition becomes advantageous
1399 as more ARGs could be determined to characterize general trends in their proliferation
1400 (Schmieder and Edwards, 2012). *int1*, *strepB* and *sul1* had the highest occurrence of 100 %
1401 followed by *tetA* and *tetB* at 75 % (Table 4.3). These show more gene detection in the site when

1402 using the whole genome as compared comparison to culture-based using PCR isolation may be
1403 due to them failing to grow in laboratory culture (table 5.2) (Mao *et al.*, 2015). Metagenomics
1404 analysis showed that the relative abundance of ARGs in S1 sample, with a gradual increased
1405 from 20 % that was detected while using the isolated DNA (107-S1) to 60 % on the whole
1406 genome. S2, S5, S1 whole sample genome showed detection of all the genes (100 %) while the
1407 respective isolates DNA had detection of 40 %, 100 % and 20 % of the ARGs respectively
1408 (Table 4.3). These shows that the use of whole genome with variant bacterial species gives off
1409 better results.

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CHAPTER 6

6.0 CONCLUSION AND RECOMMENDATION

6.1 Conclusion

This study demonstrated that the water relatively met the requirements for drinking immediately after treatment. However, as the water moved along the distribution system there was recontamination, which could be attributed to the many changes happening in the distribution network. Recontamination was confirmed by the presence of various phylogenetic bacterial communities. When the culture-dependent method was used, the results showed selectivity towards the major bacterial phyla; Proteobacteria (Enterobacteriaceae, Caulobacteraceae, Pseudomonadaceae) and Firmicutes (Bacillaceae). The traditional culture techniques were certainly limited as they only revealed relatively fewer microbial communities than the metagenomics results. In metagenomics analysis, bacterial communities were found to be from the major bacterial phyla, Fusobacteria, Firmicutes, Cyanobacteria, Bacteroidetes, Proteobacteria and Actinobacteria. The less abundant phyla included Chlamydiae, Acidobacteria and Gemmatimonadetes, as well as some unidentified microbial sequences. The presence of sequences from other kingdoms like fungi, archaea and 'viruses' at subsequent points could suggest that water carried potential waterborne disease-causing microorganisms that were omitted by the culture-dependent methods. The presence of unmapped microbial sequences emphasizes the extent of the water quality challenges and the need for paradigm shift from traditional to modern techniques. Moreover, using 16S rDNA sequencing proved to be a useful confirmatory tool for the definite identification of the isolated bacteria at genus level and 10 selected isolates were successfully identified.

It has been demonstrated through this study that most bacteria have developed mechanisms to reduce effectiveness of the antibiotics used against them, with some targeted bacteria showing multiple antibiotic resistance of up to 9 antibiotics. In addition, most bacteria have been found to harbour antibiotic resistance genes that were targeted. Only 5 resistance genes were targeted; however there could have been many more resistance genes present in the samples. This

1455 necessitates more studies to investigate all the possible resistant genes in the drinking water
1456 systems.

1457 **6.2 Recommendation**

1458

1459 1. Adequate treatment by chlorine to prevent microbial post contamination is needed
1460 together with intermittent chlorine dosing at some points in the distribution network. In
1461 addition, holding tanks should get daily chlorine boost to reduce microbial concentration.
1462 Also, water supply authorities should find an alternative disinfectant that does not
1463 dissipate rapidly and will stay in the system until the last point.

1464

1465 2. Regular cleaning and disinfection of the storage tanks to guarantee cleanliness and
1466 suitability of water for drinking as well as replacement of old pipes of the network.

1467

1468 3. The bacterium *Clostridium perfringens*, is considered a good indicator of faecal
1469 contamination and should be used as recommended by BOS 32; 2009. *C. perfringens* is a
1470 better indicator than coliforms because viruses and protozoa can survive under similar
1471 condition with regularly testing.

1472

1473 4. By comparing the effectiveness of different methods and using molecular method should
1474 be employed especially in big population water supplies to guard against non-culturable
1475 pathogens.

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1477 5. The quality of water at source point can further be influenced by hygiene practices
1478 during transportation, storage, and handling leading to microbiological contamination,
1479 hence uptake of water treatment techniques at household level could improve drinking
1480 water quality and significantly reduce diarrhoea outbreaks.

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APPENDIX

2341 APPENDIX 1

2342

2343 BOBS 32:2009-Physical requirements

Determinands	Risk category	Units	Upper limit and ranges	
			Class I (Acceptable)	Class II (Max. Allowable)
Turbidity	aesthetic / operational	NTU	1	5
Chlorine residual	aesthetic	mg/L	0.6 – 1.0	1.0

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2345 BOBS 32:2009- Microbiological safety determinants' requirements

Determinants	Units	Acceptable limits ^{a)}
Total coliform	Count/ 100mL	Not detected
<i>E.coli</i> ^{b)}	Count/ 100mL	Not detected
Thermotolerant (faecal) coliform bacteria ^{c)}	Count/ 100mL	Not detected
Faecal streptococci	Count/100 mL	Not detected
<i>Clostridium perfringens</i> ^{d)}	Count/100 mL	Not detected
<i>Cryptosporidium</i> ^{e)}	Count/volume sampled ^{f)}	Not detected
<i>Giardia</i> ^{e)}	Count/volume sampled ^{f)}	Not detected

^{a)} The allowable annual compliance contribution shall be atleast 95% to the limits in column 3.

^{b)} Definitive, preferred indicator of faecal pollution

^{c)} Indicator unacceptable microbial water quality could be tested instead of e.coli but is not the preferred indicator of faecal pollution.

^{d)} Analysis of *Clostridium perfringens* should be done biannually. However, during raining season or outbreaks of waterborne diseases, analyses should be carried more often.

^{e)} If *Clostridium perfringens* is detected, then *Cryptosporidium* and *Giardia* should be analysed for.

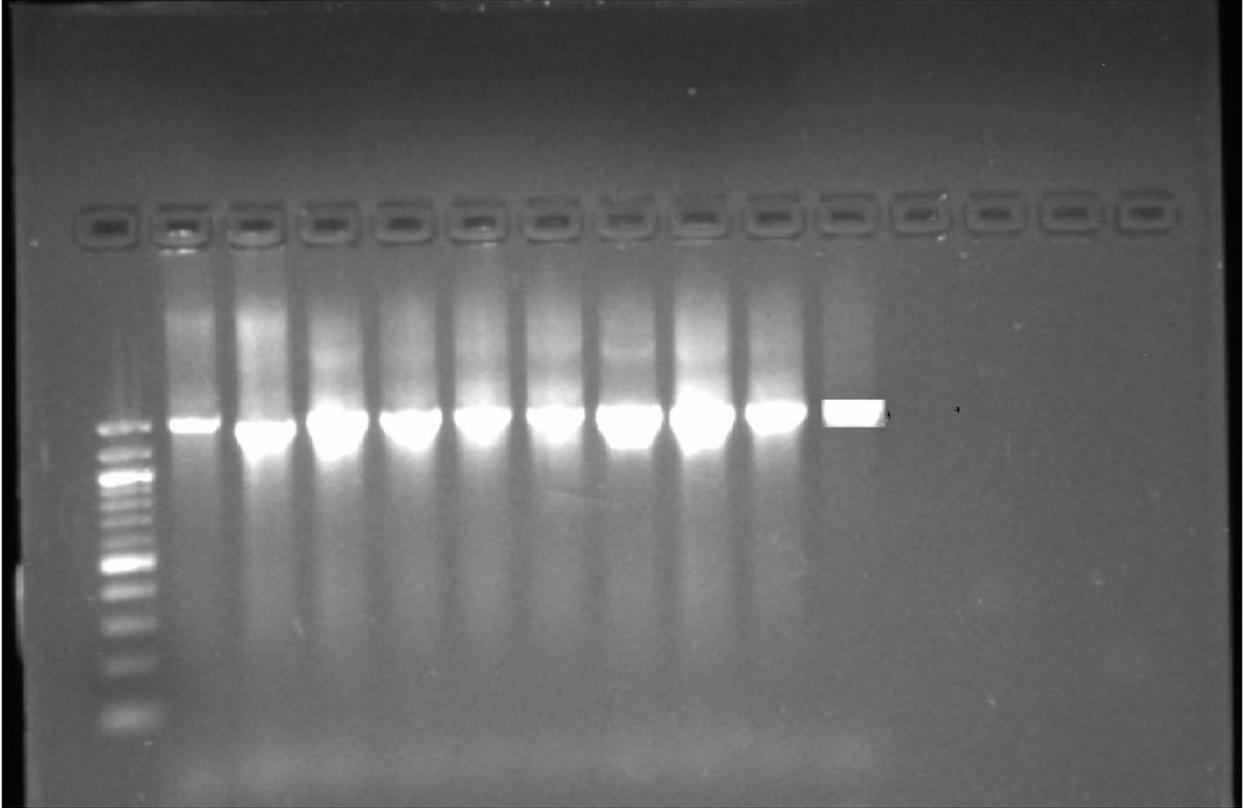
^{f)} standard volume usually used is 10l to 1000l

The presence of any pathogen renders water unacceptable for drinking.

2346 **APPENDIX 2**

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2348 **16S rDNA gel electrophoresis image**



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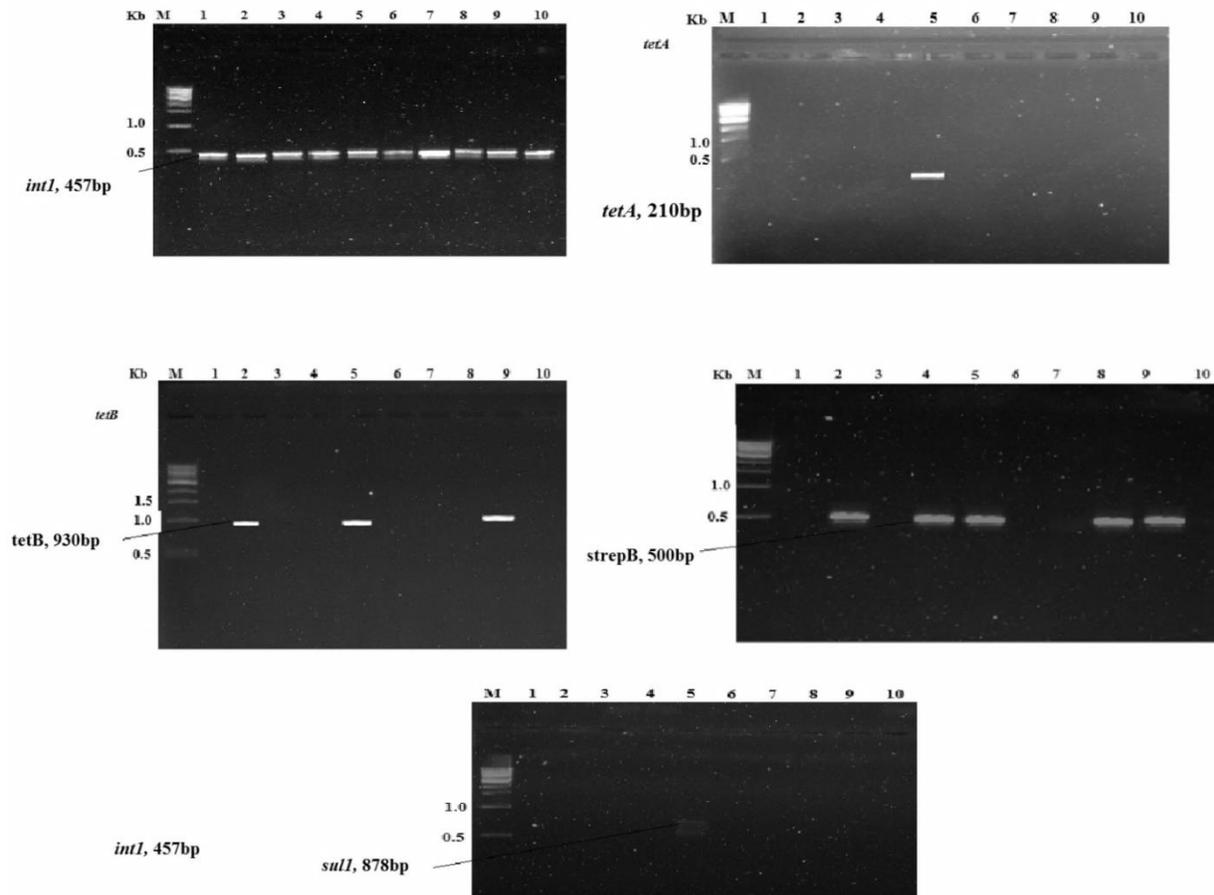
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2358 APPENDIX 3

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2360 Resistant genes gel electrophoresis visual imaging for the isolated bacteria DNA



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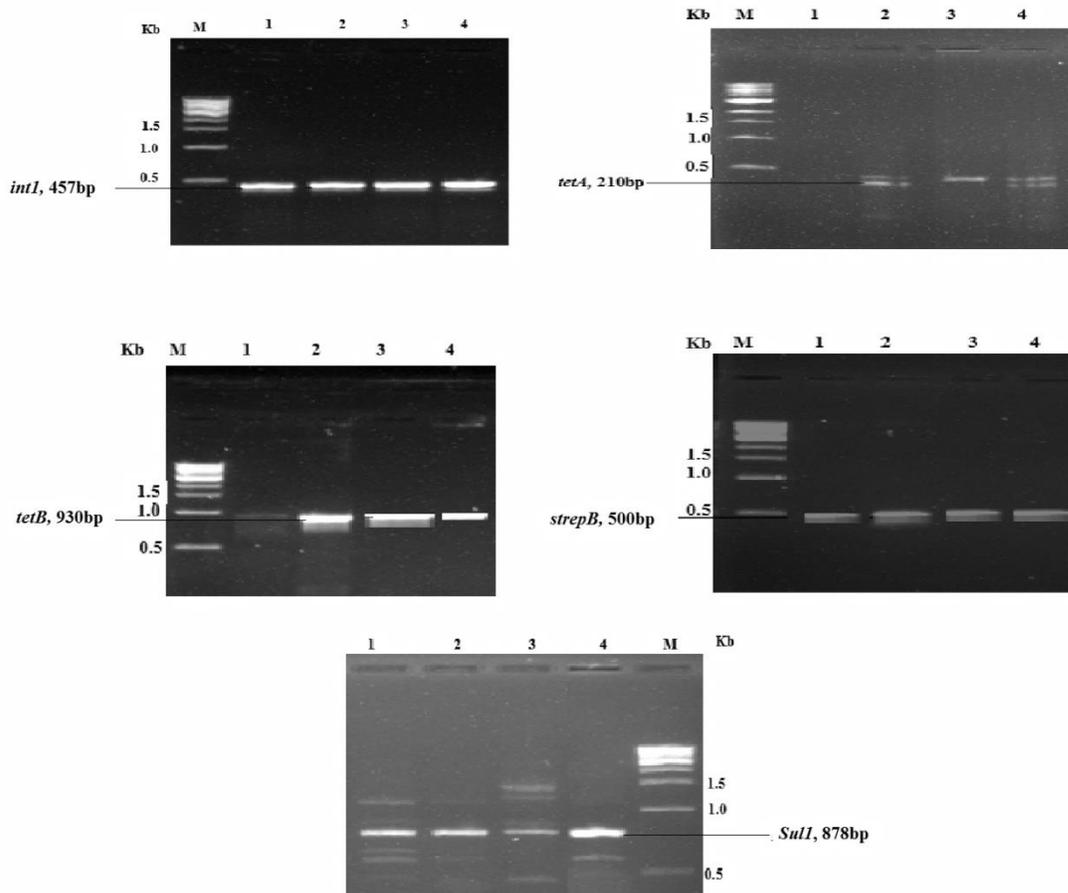
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2368 APPENDIX 4

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2370 Resistant genes gel electrophoresis visual imaging for the isolated bacteria DNA



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